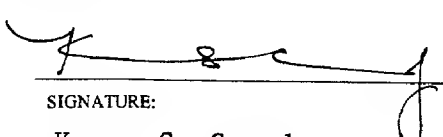


U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER G&C 118.7-US-WO
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/720410</b>
INTERNATIONAL APPLICATION NO. PCT/KR99/00347	INTERNATIONAL FILING DATE 30 June 1999 (30.06.99)	PRIORITY DATE CLAIMED 30 JUN 1998; 29 JUN 1999
TITLE OF INVENTION A NOVEL HUMAN THROMBOPOIETIN MUTEIN		
APPLICANT(S) FOR DO/EO/US Joo Young Chung, Sang Kyu Park, Sang Myoung Ju, Hyea Kyung Ahn, Seung Wook Lim, Woo Ik Chang, Seung Kook Park, Yeo Wook Koh, and Ji Soo Park		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 16 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:</p> <p>(a) Application Data Sheet - 3 pages;</p> <p>(b) 12-sheets of Drawings;</p> <p>(c) Computer Readable and Paper Form of Sequence Listing;</p> <p>(d) Four (4) KCTC Receipts for Original Deposits; and</p> <p>(e) PTO-2038 Credit Card Payment Forms for the amounts of \$1,000.00 and \$40.00 are enclosed to cover the filing and recordation fees.</p>		

U.S. APPLICATION NO. (if known) 37 CFR 1.5		INTERNATIONAL APPLICATION NO. PCT/KR99/00347		ATTORNEY'S DOCKET NUMBER G&C 118.7-US-WO	
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1000.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b></p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b></p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b></p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p>				<p><b>CALCULATIONS</b>     PTO USE ONLY</p>	
<p>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	12 - 20 =	0	X <b>\$18.00</b>	\$	
Independent claims	1 - 3 =	0	X <b>\$80.00</b>	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$270.00</b>	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$ 1000.00	
<p>Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 1000.00	
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property</p>				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1000.00	
				Amount to be refunded:	\$
				charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0494</u>. A duplicate copy of this sheet is enclosed.</p> <p>PTO-2038 Credit Card Payment Forms for the amounts of \$1000.00 and \$40.00 are enclosed to cover the filing and recordation fees.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Karen S. Canady GATES &amp; COOPER 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045</p>					
				<p></p> <p>SIGNATURE:</p> <p>Karen S. Canady</p> <p>NAME</p> <p>39,927</p> <p>REGISTRATION NUMBER</p>	

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS** PTO USE ONLY

\$ 1000.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	12 - 20 =	0	X \$18.00
Independent claims	1 - 3 =	0	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00

\$

\$

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1000.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$

**SUBTOTAL =**

\$ 1000.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

**TOTAL NATIONAL FEE =**

\$ 1000.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

+

**TOTAL FEES ENCLOSED =**

\$ 1000.00

Amount to be  
refunded:

\$

charged:

\$

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 50-0494. A duplicate copy of this sheet is enclosed.  
PTO-2038 Credit Card Payment Forms for the amounts of \$1000.00 and \$40.00 are  
enclosed to cover the filing and recordation fees.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Karen S. Canady  
GATES & COOPER  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045

SIGNATURE:

Karen S. Canady

NAME

39,927

REGISTRATION NUMBER

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City:: Seoul  
Country:: Republic of Korea  
Postal or Zip Code:: 136-060  
Citizenship Country:: Republic of Korea

Correspondence Customer Number:: 22462  
Electronic Mail::

#### **Application Information**

Title Line One:: A Novel Human Thrombopoietin Mutein  
Total Drawing Sheets:: 12  
Formal Drawings?: Formal  
Application Type:: Utility  
Docket Number:: G&C 118.7-US-WO

### Prior Foreign Applications

Foreign Application One:: KR 1998-25935  
Filing Date:: June 30, 1998  
Country:: Republic of Korea  
Priority Claimed:: **Yes**

Foreign Application Two:: KR 1999-25143  
Filing Date:: June 29, 1999  
Country:: Republic of Korea  
Priority Claimed:: **Yes**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Joo Young Chung et al.	Examiner:	To be assigned
Serial No.:	To be assigned	Group Art Unit:	To be assigned
Filing Date:	December 21, 2000	Docket:	G&C 118.7-US-WO
Title:	A NOVEL HUMAN THROMBOPOIETIN MUTEIN		

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL307944847US

Date of Deposit: December 21, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

By:

Name: Darlene Ross

PRELIMINARY AMENDMENT

BOX PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application filed herewith, please enter the following preliminary amendment:

IN THE SPECIFICATION

Please amend the specification as follows:

At page 1, line 2, please insert: --This application claims priority from Korean patent application numbers 1998-25935, filed June 30, 1998, and 1999-25143, filed June 29, 1999, the entire contents of which are incorporated by reference herein.—

IN THE CLAIMS

Please amend claims 1, 3-5 and 11-12 as follows:

1. (AMENDED) A {H}human thrombopoietin derivative which is derived from human thrombopoietin (hTPO) described by SEQ ID NO: 30; which has at least one additional N-linked glycosylation site; and which is selected from the group {comprising} consisting of:

[Asn<sup>108</sup>] hTPO;  
 [Asn<sup>117</sup>] hTPO;  
 [Asn<sup>147</sup>] hTPO;  
 [Asn<sup>153</sup>] hTPO;  
 [Asn<sup>164</sup>] hTPO;  
 [Asn<sup>193</sup>] hTPO;  
 [Asn<sup>117</sup>, Asn<sup>147</sup>] hTPO;  
 [Asn<sup>117</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>108</sup>, Asn<sup>147</sup>] hTPO;  
 [Asn<sup>108</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>147</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>117</sup>, Asn<sup>147</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>108</sup>, Asn<sup>147</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>108</sup>, Asn<sup>117</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>157</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>162</sup>, Ser<sup>164</sup>] hTPO;  
 [Asn<sup>162</sup>, Thr<sup>164</sup>] hTPO;  
 [Asn<sup>153</sup>, Ser<sup>155</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>153</sup>, Thr<sup>155</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>159</sup>, Ser<sup>161</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>159</sup>, Thr<sup>161</sup>, Asn<sup>164</sup>] hTPO;  
 [Asn<sup>166</sup>, Ser<sup>168</sup>] hTPO;  
 [Asn<sup>166</sup>, Thr<sup>168</sup>] hTPO; and  
 [Asn<sup>164</sup>, Asn<sup>168</sup>] hTPO.

2. (UNCHANGED) The human thrombopoietin derivative of claim 1 which is [Asn<sup>164</sup>] hTPO, [Asn<sup>193</sup>] hTPO, [Asn<sup>108</sup>, Asn<sup>117</sup>, Asn<sup>164</sup>] hTPO, or [Asn<sup>157</sup>, Asn<sup>164</sup>] hTPO.
3. (AMENDED) A {R}recombinant gene encoding a human thrombopoietin derivative of claim 1.
4. (AMENDED) A {R}recombinant gene encoding a human thrombopoietin derivative of claim 2.



5. (AMENDED) A {E} eukaryotic expression vector containing the recombinant gene of claim 3.
6. (UNCHANGED) The eukaryotic expression vector of claim 5 which is p40433, p40434, p40449, p40458, pD40433, pD40434, pD40449, or pD40458.
7. (UNCHANGED) Mammalian cell line CHO K-1/p40433 (Accession NO: KCTC 0495BP) transfected with the expression vector p40433 of claim 6.
8. (UNCHANGED) Mammalian cell line CHO dhfr-/pD40434 (Accession NO: KCTC 0630BP) transfected with the expression vector pD40434 of claim 6.
9. (UNCHANGED) Mammalian cell line CHO dhfr-/pD40449 (Accession NO: KCTC 0631BP) transfected with the expression vector pD0449 of claim 6.
10. (UNCHANGED) Mammalian cell line CHO dhfr-/pD40458 (Accession NO: KCTC 0632BP) transfected with the expression vector pD0458 of claim 6.
11. (AMENDED) A {P} process of preparing {the} a human thrombopoietin derivative {of claim 1 wherein} comprising culturing a mammalian cell line containing the recombinant gene of claim 3 {is used to obtain the} and obtaining a human thrombopoietin derivative {of claim 1} from the cultured mammalian cell line.
12. (AMENDED) A {P} pharmaceutical composition containing the human thrombopoietin derivative of claim 1 {which is used for the treatment of thrombocytopenia}.

#### REMARKS

Applicants have amended claims 1, 3-5 and 11-12, as indicated above, to clarify the language of the claims. These amendments are supported by the original application as filed, and introduce no new matter.

Applicant respectfully requests that the preliminary amendment described herein be entered into the record prior to the calculation of the filing fee and prior to examination and consideration of the above-identified application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of-record, Karen S. Canady at (310) 642-4148.

Respectfully submitted,


Joo Young Chung et al.

By their attorneys,

GATES & COOPER

6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: December 21, 2000

By:   
Name: Karen S. Canady  
Reg. No.: 39,927

KSC/dr

G&C 118 7-US-WO

A NOVEL HUMAN THROMBOPOIETIN MUTEINFIELD OF THE INVENTION

5 The present invention relates to novel human thrombopoietin (; hTPO) derivatives with high activities enhancing the platelet production in vivo, and to process of preparation thereof.

10 Particularly, this invention relates to novel hTPO derivatives wherein sugar chains are introduced by substituting amino acids such as asparagine for amino acids at specific positions in native hTPO; to nucleotide sequences encoding the hTPO derivatives; to expression vectors containing the nucleotide sequences; to process of construction thereof; to cell lines  
15 transformed with the vectors; and to process of preparing the hTPO derivatives thereby.

BACKGROUND

20 Thrombocytopenia is the disease of platelet deficiency caused by anticancer therapy, bone marrow graft and so on. In the process of anticancer therapy or bone marrow graft, megakaryocyte colony forming cells, the platelet precursor cells in bone marrow, are disrupted, and this leads to platelet deficiency.  
25 Thrombocytopenia patient is subject to bleeding in

response to a light trauma, and more serious patient becomes bleeding without trauma. Bleeding is often fatal in this case since the blood is not stanchd at all.

5       The current therapy for thrombocytopenia is nothing but the platelet transfusion. However, several problems and side effects are associated with this therapy, such as insufficient donors, transfusion-mediated infection with e.g. HIV (human  
10 immunodeficiency virus) and hepatitis viruses, the elicitation of immune response, and so on.

Platelet is a component of blood, originated from megakaryocyte precursor cells, and plays a role in the  
15 suppression of bleeding. Thrombopoietin (hereafter, referred to as "TPO"), a glycoprotein synthesized and secreted in liver or kidney, regulates the platelet level in blood. TPO accelerates the proliferation and differentiation of the megakaryocyte precursor cells,  
20 which is followed by the platelet production (Lok et al., Nature, 369: 565-568, 1994; De savage et al., Nature, 369: 533-568, 1994).

Since a gene encoding TPO was isolated first from human in 1994 (Lok et al., Nature, 369: 565-568, 1994;  
25 De savage et al., Nature, 369: 533-568, 1994; Miyazaki et al., Experimental hematol., 22: 838, 1994; WO 95/18858), clinical approaches for thrombocytopenia

have been based on the function of human TPO (hereinafter, referred to as "hTPO"), that is, the regulation of the platelet level.

5        Three different approaches are proceeded in order to improve the activity of native hTPO.

10        Glycoprotein hTPO is expressed in cells as an inactive precursor comprising 353 amino acids, and the cleavage of signal peptide (21 amino acids) leads to the secretion of active hTPO protein (332 amino acids) out of the cells. The amino acid sequence of hTPO is divided into two regions. The N-terminal region comprising 151 amino acids contains catalytic site, and shows high similarity to that of erythropoietin (; EPO).  
15        The other region, C-terminal region is presumed to have a key role in the extracellular secretion and in vivo stability of hTPO.

20        The first method for modifying native hTPO relates to the deletion of the C-terminal region or the addition of new amino acids to the deleted hTPO.

25        In support of this approach, Amgen INC. developed various hTPO derivatives such as hTPO<sub>151</sub> (consisting of amino acids 1-151), hTPO<sub>174</sub> (consisting of amino acids 1-174) and the hTPO<sub>163</sub> supplemented with methionine-lysine in its N-terminal. However, these derivatives proved to show lower hTPO activity in vivo than native hTPO, although their activities were maintained in

vitro (WO 95/26746, WO 95/25498).

In addition, Genentech INC. prepared from *E. coli* a recombinant hTPO<sub>153</sub> derivative having an N-terminal methionine (WO 95/18858). Kirin produced diverse hTPO  
5 derivatives with C-terminal deletion and hTPO<sub>163</sub> derivatives with substitution, deletion, or insertion at a specific amino acid residue (WO 95/21920). Other hTPO derivatives with C-terminal deletion were provided by Zymogenetics INC. (WO 95/21920; WO 95/17062) and G.  
10 D. Searl (WO 96/23888). These derivatives, however, failed to show higher activity of platelet production in vivo than native hTPO.

The second method is associated with the conjugation of polyethyleneglycol (; PEG) with hTPO  
15 fragment, which is exemplified by hTPO<sub>163</sub>-PEG of Amgen INC. (WO 95/26746).

The derivatives according to this method, however, have critical handicaps such as poor stability and safety, since they do not contain C-terminal region  
20 that is important for the stability of hTPO and since immune response may be elicited by the shift of their folding structures. Moreover, the qualities of products may be uneven because PEG is not so conjugated at a uniform proportion.

25 The third method exploits the glycosylation of hTPO, which may increase the hTPO activity.

Amgen INC. performed a mutagenesis where a

specific nucleotide in cDNA encoding hTPO was substituted to bear amino acid sequence "Asn-X-Ser/Thr" (where X is any amino acid but proline). The mutated gene was used to prepare hTPO derivatives with C-terminal deletion, which comprised 174 amino acids and into which one or more N-linked glycosylation sites are produced (WO 96/25498).

10 Korea Research Institute of Biology and Biotechnology (KRIBB) produced a hTPO derivative where one sugar chain is incorporated into the intact native hTPO (Park et al., J. Biol. Chem., 273: 256-261, 1998), distinctive from the Amgen's partial hTPO derivatives.

However, all these derivatives did not show significantly higher levels of hTPO activity.

15 As described above, although various strategies have been employed to develop hTPO derivatives with enhanced biological activity, all failed to obtain the derivatives with higher in vivo hTPO activities than native hTPO.

20 Generally, numerous proteins exist as proteins adorned by oligosaccharide chains in specific position, i.e. glycoproteins. Two types of glycosylation have been found. In O-linked glycosylation, sugar chain is attached to the hydroxyl group of Ser/Thr residue in the glycoprotein. In N-linked glycosylation, sugar chain is attached to the amide group of "Asn-X-Ser/Thr"

(X is any amino acid but proline).

The sugar chain in a glycoprotein exert various effects on the physical, chemical and biological properties such as protein stability and secretion, especially on the biological activity in vivo and pharmacokinetic properties (Jenkins et al., Nature Biotechnological., 14: 975-981, 1996; Liu et al., Act. TIBTECH., 10: 114-120, 1992).

These effects are exemplified by human interferon- $\gamma$  and glucose transport protein, where amino acid substitution at proper glycosylation site gave rise to the striking decrease in the hTPO activity, suggesting that N-linked sugar chain may have significant effects on the activity of the glycoprotein (Sareneva et al., Biochem. J. 303: 831-840, 1994; Asano et al., FEBS, 324: 258-261, 1993).

However, the introduction of additional sugar chains is not always accompanied with an increase in the catalytic activity of the glycoprotein, as described in the precedent art of Amgen INC. and KRIBB (WO 96/25498; Park et al., J. Biol. Chem., 273: 256-261, 1993). Although additional sugar chains were introduced into these hTPO derivatives, the biological activities of the glycoproteins were rather reduced when compared with native hTPO. According to this observation, it is not the number of sugar chains but the specific glycosylation site that is crucial for



elevating its catalytic activity.

We, the inventors of this invention, have prepared various hTPO derivatives and examined their activities.

5 This invention is performed by disclosing that several hTPO derivatives such as derivative wherein Asn is substituted for Arg<sup>164</sup>; derivative wherein Asn is substituted for Thr<sup>193</sup>; derivative wherein Asn is substituted for Pro<sup>157</sup> and Arg<sup>164</sup>; and derivative wherein  
10 Asn is substituted for Leu<sup>108</sup>, Arg<sup>117</sup> and Arg<sup>164</sup> produce the remarkably higher levels of platelets than native hTPO does, which is not ever observed in the current hTPO derivatives.

15

#### SUMMARY OF THE INVENTION

It is an object of this invention to provide novel hTPO derivatives that show the higher activities enhancing the platelet production in vivo than native hTPO does.

20

In accordance with the present invention, the foregoing objects and advantages are readily obtained.

The present invention provides novel hTPO derivatives with higher activity inducing the platelet  
25 production in vivo. Additional sugar chains are introduced into said hTPO derivatives through

substituting amino acids such as asparagine for amino acids at specific positions in native hTPO.

This invention also provides genes encoding said hTPO derivatives.

5        In addition, this invention provides process of preparing said hTPO derivatives, comprising the step wherein said genes are inserted into appropriate vector; the step wherein a host cell is transfected with said vector; and the step wherein the transfected  
10       cells are cultured in appropriate medium.

Further features of the present invention will appear hereinafter.

#### BRIEF DESCRIPTION OF THE DRAWINGS

15       FIG. 1 depicts PCR-based mutagenesis wherein the cDNAs encoding hTPO derivatives are produced, where

1: primer described by SEQ ID NO: 1;

2: primer described by SEQ ID NO: 2;

N: N-primer;

20       C: C-primer;

S: signal sequence.

FIG. 2 depicts the process of linking the mutated genes to pBlueBac4 vector.

FIG. 3 depicts the process of constructing animal  
25       expression vectors that the mutated cDNAs are subcloned in pCDT vector.

FIG. 4 presents the result of cell proliferation assay where the activity of M-07e cell proliferation is measured in the presence of hTPO derivatives expressed in animal cells.

5        FIG. 5 presents the in vivo activity of native hTPO, which is determined by measuring the number of platelets in mouse blood after treatment with various doses of native hTPO.

10       FIG 6. presents the in vivo activities of various hTPO derivatives, which are determined by measuring the number of platelets in mouse blood after treatment with hTPO derivatives (36  $\mu$ g/kg) expressed in animal cells.

15       FIG. 7a and 7b present the in vivo activities of various hTPO derivatives, which are determined by measuring the number of platelets in mouse blood after treatment with hTPO derivatives (10  $\mu$ g/kg) expressed in animal cells.

20       FIG. 8 depicts the process of constructing the dhfr expression vectors that contain a gene encoding native hTPO or hTPO derivatives.

FIG. 9 presents the result of SDS-PAGE and silver staining with the various fractions obtained in the purification of a hTPO derivative, where

25       lane M: size marker;  
      lane 1: culture supernatant;  
      lane 2: CM-ion exchange affinity column elutes;  
      lane 3: phenylsephrose column elutes;

lane 4: hydroxyapatite column elutes;

lane 5: Q cartridge column elutes.

FIG. 10 presents the in vivo activities of native hPO and various hTPO derivatives, which are determined by measuring the number of platelets in mouse blood after treatment with native hTPO or purified hTPO derivatives (10  $\mu$ g/kg).

FIG. 11 presents the result of SDS-PAGE and western blot analysis with the purified native hTPO and hTPO derivatives, where

lane M: size marker;

lane 1: native hTPO;

lane 2: hTPO derivative 40433;

lane 3: hTPO derivative 40434;

lane 4: hTPO derivative 40449;

lane 5: hTPO derivative 40458;

FIG. 12a and 12b present the result of Western blot analysis, in which the thrombin-digestion pattern of native hTPO (FIG. 12a) or its derivative 40433 (FIG. 12b) is shown according to the time after digestion, where

lane M: size marker;

lane 1: Before digestion;

lane 2: 30 minutes after digestion;

lane 3: 1 hour;

lane 4: 2 hours;

lane 5: 3 hours;

lane 6: 4 hours;

lane 7: 6 hours.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

5           Hereinafter, the present invention is described in detail.

          The present invention provides novel hTPO derivatives with enhanced activity inducing the platelet production in vivo. Additional sugar chains  
10       are introduced into said hTPO derivatives through substituting amino acids such as asparagine for amino acids at specific positions in native hTPO.

          To develop novel hTPO derivatives with enhanced activity inducing the platelet production in vivo, a  
15       variety of hTPO derivatives were prepared, into which one or more sugar chains are introduced through substituting one or more amino acids at specific positions in a hTPO protein. In result, N-linked glycosylation site "Asn-X-Ser/Thr" (where X is any  
20       amino acid but proline) is created at the specific positions.

          In a preferred embodiment, site-specific mutagenesis using overlap PCR (Cheng et al., Proc. Natl. Acad. Soc. USA, 91: 5695, 1994) was employed to produce  
25       the genes encoding hTPO derivatives with specific amino acids substituted at specific position (see FIG 1).

First, the following primer pairs containing mutated sequences were synthesized chemically. These oligonucleotide primer pairs contain the nucleotide sequences corresponding to the mutated amino acid residues, and extend to the 5' or 3' neighboring sequence to the mutated region in hTPO cDNA.

Table 1. Primer pairs for site-specific mutagenesis

Derivative	primer	SEQ ID NO:	Nucleotide sequence
40429	29-N	3	5'-GCTGT GGTGT TGCCC TGTGG-3'
	29-C	4	5'-ACAGG GCAAC ACCAC AGCTC-3'
40430	30-N	5	5'-GGGTT CCGTT TAAAC TCTGC AG-3'
	30-C	6	5'-CTGCA GAGTT TAAAC GGAAC CCAG-3'
40431	31-N	7	5'-AGAGG GTGGA ATTCC CTACA AGCA-3'
	31-C	8	5'-TGCTT GTAGG GAATT CCACC CTCT-3'
40432	32-N	9	5'-GGGCC CGGTT GACGC AGA-3'
	32-C	10	5'-TCTGC GTCAA CCGGG CCC-3'
40433	33-N	11	5'-GGACT AGAGA CGTGT TGCTG GGGAC-3'
	33-C	12	5'-GTCCC CAGCA ACACG TCTCT AGTCC-3'
40434	34-N	13	5'-GAAGC CCAGA TCCGT TAGTT CTGGC-3'
	34-C	14	5'-GCCAG AACTA ACGGA TCTGG GCTTC-3'
40458	58-N	15	5'-AGCTG TGGTG TTTGG GGCCC GC-3'
	58-C	16	5'-GCGGG CCCCC AACAC CACAG CT-3'
	33-N	11	5'-GGACT AGAGA CGTGT TGCTG GGGAC-3'
	33-C	12	5'-GTCCC CAGCA ACACG TCTCT AGTCC-3'
40459	59-N	17	5'-CTAGA GAGGT GCTGT TGACA GCTGT G-3'
	59-C	18	5'-CACAG CTGTC AACAG CAGCA CCTCT CTAG-3'
40460	60-N	19	5'-GGTGG GTGGG GTCCG GTTGA CGCAG AGG-3'
	60-C	20	5'-CCTCT GCGTC AACCG GACCC CACCC ACC-3'
	33-N	11	5'-GGACT AGAGA CGTGT TGCTG GGGAC-3'
	33-C	12	5'-GTCCC CAGCA ACACG TCTCT AGTCC-3'
40461	61-N	21	5'-TCTGC TGGGG GAAGC GTTGG TGGGT GG-3'
	61-C	22	5'-CCACC CACCA ACGCT TCCCC CAGCA GA-3'
	33-N	11	5'-GGACT AGAGA CGTGT TGCTG GGGAC-3'
	33-C	12	5'-GTCCC CAGCA ACACG TCTCT AGTCC-3'
40462	62-N	23	5'-CAGTG TGAGG GTTAG ATTGG TTCTG CTG-3'
	62-C	24	5'-CAGCA GAACC AATCT AACCC TCACA CTG-3'
40463	63-N	25	5'-CAGTG TGAGG TTTAG AGAGG TT-3'
	63-C	26	5'-AACCT CTCTA AACCT CACAC TG-3'
	33-N	11	5'-GGACT AGAGA CGTGT TGCTG GGGAC-3'
	33-C	12	5'-GTCCC CAGCA ACACG TCTCT AGTCC-3'

Overlap PCR was performed wherein the established vector pBlue404 (KOREA APPLICATION NO. 97-7512) containing hTPO cDNA was employed as a template. On the one hand, the oligonucleotide (SEQ ID NO: 1) encoding hTPO signal peptide and one of oligonucleotides (N-primer series in Table 1) encoding mutated sequences were employed as PCR primers. On the other hand, the oligonucleotide (SEQ ID NO: 2) containing hTPO C-terminal ORF and stop codon and one of oligonucleotides (C-primer series in Table 1) encoding mutated sequences were employed as PCR primers.

The overlap PCR products contain the DNA sequences covering from N-terminal signal sequence to mutated region and the DNA sequences covering from mutated region to C-terminal region, respectively.

To obtain the full-length hTPO cDNA sequence containing the target site for amino acid substitution, PCR was done where the two overlap PCR products were employed as a template and two oligonucleotides (SEQ ID NO: 1 and NO: 2) were employed as PCR primers.

Through aforesaid processes, 1078-bp full-length cDNA sequences encoding hTPO derivatives were prepared, which contained a variety of mutated sequences (see FIG. 1).

In a further embodiment, vectors containing the

cDNAs for hTPO derivatives were constructed in order to obtain the expression vectors containing the cDNAs and finally to produce the cell lines transfected with the expression vectors.

5           Particularly, the established vector pBlueBac4 and each cDNA encoding hTPO derivative were digested with *Bgl*III and *Eco*RI restriction enzymes, respectively. Then the two DNA fragments were linked with T4 DNA ligase to construct vectors containing the hTPO  
10 derivative cDNA (see FIG. 2).

The resulting vectors are illustrated by Table 2, which gives the names of the vectors, the mutated sequences encoding hTPO derivatives, and the amino acid residues modified in accordance with the mutation.

15           The amino acid sequences of hTPO derivatives of this invention are represented by a method where they are described with the amino acid residue substituted and a specified position in the amino acid sequence of native hTPO (SEQ ID NO: 30). For instance, a hTPO  
20 derivative of this invention, 40430, may be also referred to as "[Asn<sup>108</sup>] hTPO" corresponding to the amino acid sequence described by SEQ ID NO: 30, except for asparagine substituted for the amino acid residue 108.

25

Table 2. The substituted amino acid and nucleotide sequences in the vectors containing hTPO derivative



# cDNAs

Vectors	substituted amino acid	Mutated nucleotide
pBlue29	R <sup>117</sup> → N <sup>117</sup>	AGG → AAC
pBlue30	L <sup>108</sup> → N <sup>108</sup>	CTT → AAT
pBlue31	G <sup>146</sup> G <sup>147</sup> → G <sup>146</sup> N <sup>147</sup>	GGAGGG → GGGAAT
pBlue32	R <sup>153</sup> → N <sup>153</sup>	AGG → AAC
pBlue33	R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	AGAACC → AACACG
pBlue34	T <sup>193</sup> G <sup>194</sup> → N <sup>193</sup> G <sup>194</sup>	ACTGGT → AACGGA
pBlue58	P <sup>157</sup> → N <sup>157</sup>	CCC → AAC
	R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	AGAACC → AACACG
pBlue59	R <sup>162</sup> , R <sup>164</sup> → N <sup>162</sup> , S <sup>164</sup>	CCC, AGA → AAC, AGC
pBlue60	R <sup>153</sup> , A <sup>155</sup> → N <sup>153</sup> , T <sup>155</sup>	AGG, GCC → AAC, ACC
	R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	AGAACC → AACACG
pBlue61	T <sup>159</sup> , V <sup>161</sup> → N <sup>159</sup> , S <sup>161</sup>	ACA, GTC → AAC, TCC
	R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	AGAACC → AACACG
pBlue62	S <sup>166</sup> , V <sup>168</sup> → N <sup>166</sup> , T <sup>168</sup>	TCT, GTC → AAT, ACC
pBlue63	R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	AGAACC → AACACG
	V <sup>168</sup> → N <sup>168</sup>	GTC → AAC

In another preferred embodiment, the expression  
 5 vectors, which contain the hTPO derivative cDNA  
 sequences, were constructed in order to be introduced  
 into an animal cells.

Specifically, pCDT vector was prepared through the  
 insertion of native hTPO cDNA into the established  
 10 vector pCDNA3.1. The pCDT and the vectors containing  
 hTPO derivative genes, such as pBlue29, pBlue30,  
 pBlue31, pBlue32, pBlue33, pBlue34, pBlue58, pBlue59,  
 pBlue60, pBlue61, pBlue62 and pBlue63 were digested  
 with *Nhe*I and *Eco*RI enzymes. Then, these fragments  
 15 were ligated with T4 DNA ligase to obtain animal  
 expression vector containing each hTPO derivative gene  
 (see FIG. 3 and Table 3).

Table 3. The substituted amino acid and nucleotide sequences in animal expression vectors containing hTPO derivative cDNAs.

5

Expression vector	Mutated amino acid	Mutated base
p40429	R <sup>117</sup> → N <sup>117</sup>	AGG → AAC
p40430	L <sup>108</sup> → N <sup>108</sup>	CTT → AAT
p40431	G <sup>146</sup> G <sup>147</sup> → G <sup>146</sup> N <sup>147</sup>	GGAGGG → GGGAAT
p40432	R <sup>153</sup> → N <sup>153</sup>	AGG → AAC
p40433	R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	AGAACC → AACACG
p40434	T <sup>193</sup> G <sup>194</sup> → N <sup>193</sup> G <sup>194</sup>	ACTGGT → AACGGA
p40435	p40429 + p40431	
p40436	p40429 + p40433	
p40437	p40430 + p40431	
p40438	p40430 + p40433	
p40439	p40431 + p40433	
p40446	p40429 + p40431 + p40433	
p40447	p40430 + p40431 + p40433	
p40449	p40429 + p40430 + p40433	
p40458	p <sup>157</sup> → N <sup>157</sup> R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	CCC → AAC AGAACC → AACACG
p40459	R <sup>162</sup> , R <sup>164</sup> → N <sup>162</sup> , S <sup>164</sup>	CCC, AGA → AAC, AGC
p40460	R <sup>153</sup> , A <sup>155</sup> → N <sup>153</sup> , T <sup>155</sup> R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	AGG, GCC → AAC, ACC AGAACC → AACACG
p40461	T <sup>159</sup> , V <sup>161</sup> → N <sup>159</sup> , S <sup>161</sup> R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup>	ACA, GTC → AAC, TCC AGAACC → AACACG
p40462	S <sup>166</sup> , V <sup>168</sup> → N <sup>166</sup> , T <sup>168</sup>	TCT, GTC → AAT, ACC
p40463	R <sup>164</sup> T <sup>165</sup> → N <sup>164</sup> T <sup>165</sup> V <sup>168</sup> → N <sup>168</sup>	AGAACC → AACACG GTC → AAC

The scope of this invention includes not only DNA sequences of Table 3 but also other DNA sequences corresponding to the amino acid sequences of Table 3, based on the degeneracy of genetic code. In other words, all of DNA sequences encoding hTPO derivatives

that contain the modified amino acids of Table 3 may be employed as a mutant hTPO gene.

For example, a hTPO derivative, which may be prepared from an expression vector p40433, includes a  
5 polypeptide [Asn<sup>164</sup>] hTPO, which may be encoded not only by DNA sequence of SEQ ID NO: 31 but also by degenerate DNA sequences.

To confirm the insertion of mutated sequences into  
10 the vector, the DNA sequencing of PCR products may be employed. Alternatively, if the overlap PCR primers are designed to contain a new restriction site or to delete a wild-type restriction site, the restriction map of the vector may be used to examine mutagenesis.  
15 If an expression vector p40433, for example, has a mutated sequence ACACGT in place of wild-type sequence GAACCT, *AflIII* restriction site will be created in p40433. Thus, the digestion of p40433 with *AflIII* can be used to confirm the introduction of mutated sequence.

20

In a preferred embodiment, hTPO derivatives with two or more amino acid modifications were produced using said expression vectors in order to attach additional sugar chains to the modified amino acid of  
25 native hTPO.

Particularly, two kinds of said expression vectors were digested with appropriate restriction enzymes, and

then the resulting fragments were subcloned in said pCDT vector to construct expression vectors which contain the hTPO derivative genes with two or three regions modified. For example, an expression vector  
5 p40429 was digested with *NheI* and *BspMI* enzymes to obtain a DNA fragment involved in the amino acid substitution  $\text{Arg}^{117} \rightarrow \text{Asn}^{117}$ . In addition, an expression vector p40431 was digested with *BspMI* and *Bsu36I* enzymes to obtain a DNA fragment involved in the  
10 amino acid substitution  $\text{Gly}^{147} \rightarrow \text{Asn}^{147}$ . The resulting two DNA fragments were inserted into the *BspMI*-*Bsu36I* site of the pCDT vector, constructing an expression vector p40435 that contained a DNA sequence encoding hTPO with two amino acid substitutions,  $\text{Arg}^{117} \rightarrow \text{Asn}^{117}$   
15 and  $\text{Gly}^{147} \rightarrow \text{Asn}^{147}$ . In accordance with this procedure, expression vectors such as p40436, p40437, p40438, p40439, p40446, p40447, p40448, and p40449 were constructed (see Table 3).

20 In a further preferred embodiment, animal cell transformants expressing each hTPO derivative was prepared.

Particularly, said expression vectors were transfected to animal cell line CHO/K-1 through the  
25 lipofectamin method, preparing animal cell line expressing each hTPO derivative.

According to the name of the expression vector

introduced, the transfected lines were designated CHO K-1/p40429, CHO K-1/p40430, CHO K-1/p40431, CHO K-1/p40432 etc., and CHO K-1/p40433 was deposited in Korean Collection for Type Cultures (; KCTC) on June 17, 1998 (Accession NO: KCTC 0495BP).

In another preferred embodiment, hTPO derivatives were prepared, by culturing animal cell lines transfected with the expression vector of this invention.

Particularly, the transfected lines were subcultured in a serum-containing medium on large scale, and then transferred to a secretion medium. Cultured medium was concentrated and dialyzed to obtain hTPO derivatives.

A hTPO derivative isolated from CHO K-1/p40433 is polypeptide [Asn<sup>164</sup>] hTPO where asparagine is substituted for Arginine<sup>164</sup> in native hTPO sequence.

A hTPO derivative isolated from CHO K-1/p40434 is polypeptide [Asn<sup>193</sup>] hTPO where Asn is substituted for threonine<sup>193</sup> in native hTPO sequence.

A hTPO derivative isolated from CHO K-1/p40449 is polypeptide [Asn<sup>108</sup>, Asn<sup>117</sup>, Asn<sup>164</sup>] hTPO where asparagine is substituted for leucine<sup>108</sup>, arginine<sup>117</sup> and arginine<sup>164</sup> in native hTPO sequence.

A hTPO derivative isolated from CHO K-1/p40458 is polypeptide [Asn<sup>157</sup>, Asn<sup>164</sup>] hTPO where asparagine is

substituted for proline<sup>157</sup> and arginine<sup>164</sup> in native hTPO sequence.

5 In accordance with the names of expression vectors, the hTPO derivatives expressed in the animal cells were designated 40429 to 40439, 40446, 40447, 40449, and 40458 to 40463, respectively. Their in vitro activities were estimated by measuring proliferation of megakaryocyte leukemia cell line.

10 In result, derivatives such as 40429, 40430, 40432, 40433, 40434, 40437, 40438, 40439, and the like showed higher levels of biological activity than native hTPO did. No significant relationship between the numbers of additional sugar chains and the in vitro activities  
15 was observed, since activities were increased or decreased regardless of the number of sugar chains introduced (see FIG. 4).

20 In a preferred embodiment, hTPO derivatives were administered to mouse and then platelet levels were measured in order to investigate the in vivo biological activities of the hTPO derivatives.

25 In detail, 8-week-old mice were divided into 4~5 groups according to their weight and then a predetermined concentration of hTPO was subcutaneously administered to mice. After administration, blood was collected from peripheral vessels of the mice, and

platelet levels in blood were measured. While most of derivatives were found to show lower platelet levels than native hTPO did, derivatives 40433, 40434, 40449 and 40458 produced platelets at similar or higher efficiencies (see FIG. 6, 7a, or 7b).

These results suggested that hTPO activity in vivo is dependent not on the number of introduced sugar chains but on the specific position of sugar chains. That is, in order to increase the in vivo activity of hTPO, sugar chains should be introduced into specific positions in hTPO, such as amino acid 164, amino acid 193, and so on.

Most notably, platelet levels in 40433-treated group were higher than in native hTPO-treated group, for 2 days from day 3 or 4 after administration, demonstrating that 40433 can be used as a therapeutic agent of thrombocytopenia. The maximum platelet levels in 40433-treated mice were observed on day 5 after administration, reaching 134% of native hTPO activity on day 5, and more than 180% in total.

In another aspect of this invention, in vivo hTPO activities were investigated in purified hTPO derivatives that had produced same or higher platelet levels than native hTPO. To do this, dhfr expression vectors containing the hTPO derivative genes were constructed, and the resulting vectors were used to

prepare cell lines expressing hTPO genes efficiently.

Particularly, *Bam*HI linker was connected to the *Pvu*II-*Sph*I fragment of pSV2-dhfr vector containing dhfr gene. This 1710-bp DNA fragment containing dhfr gene  
5 was inserted into pCDT to prepare dhfr expression vector pDCT containing native hTPO gene. Then, the hTPO derivative genes were inserted into pDCT in place of native hTPO gene, constructing dhfr expression vectors pD40433, pD40434, pD40449, and pD40458 (see FIG.  
10 8).

The dhfr expression vectors containing hTPO derivative genes can be readily amplified in the genome of the transfected eukaryotic cells by subculturing the cells. In a preferred embodiment, these vectors were  
15 transfected into animal cell line CHO/dhfr(-). The novel transfected cell lines were designated CHO dhfr-/pD40433, CHO dhfr-/pD40434, CHO dhfr-/pD40449, and CHO dhfr-/pD40458, respectively. CHO dhfr-/pD40434, CHO dhfr-/pD40449, and CHO dhfr-/pD40458 were deposited in  
20 Korean Collection for Type Cultures (; KCTC) on June 8, 1999 (Accession NO: KCTC 0630BP, KCTC 0631BP, KCTC 0632BP, respectively). Other dhfr vectors containing hTPO derivative genes and its transfected cell lines may be obtained according to the said procedure.

25 The transfected cell lines can be cultured on large scale, and hTPO derivatives can be purified in accordance with the established methods. Various



column chromatography procedures may be employed to purify hTPO derivatives from cell lines that are transfected with dhfr expression vectors containing said hTPO derivative genes. In a preferred embodiment,  
5 CM ion-exchange affinity column, phenyl sepharose column, hydroxylapatite column, and so on were employed (see FIG. 9).

To evaluate the in vivo biological activities of the purified hTPO derivatives, platelet levels were  
10 measured according to said process, after the derivatives were administered to mice. In 40433-, 40434-, 40449- and 40458-treated groups, the platelet yields reached 177%, 191%, 126% and 179% of native hTPO-treated group, respectively, for 10 days since the  
15 administration (see FIG. 10).

To confirm the introduction of additional sugar chains into hTPO derivatives, SDS-PAGE and subsequent Western blot analysis were performed with the purified native hTPO and hTPO derivatives. In result, the  
20 molecular weights of derivatives 40433 and 40434 were larger than that of native hTPO. The molecular weights of 40458 with two additional sugar chains and 40449 with three ones were proportionally increased, depending on the number of sugar chains (see FIG. 11).

25 To examine the stability of hTPO derivatives, native hTPO and a derivative 40433 were treated with thrombin, and then the protein bands in Western blot

were observed in accordance with the digestion time. In result, 40433 was more stable against digestion with thrombin than native hTPO (see FIG. 12). Thus, it was suggested that increased stability due to glycosylation might contribute to the elevation of in vivo hTPO activity.

The pharmaceutical composition containing the hTPO derivatives of this invention may be prepared in a conventional process, and may be formulated alone or in combination with pharmaceutically acceptable carriers, forming agents, diluents and so on. The composition may be used in the formulation of powders, granules, tablets, capsules, injections, and the like.

Particularly, it may be employed in combination with water, phosphate buffer, extroso solution, albumin solution, antioxidants, dextrin and the like. Preferably, it may be administered intravenously or subcutaneously.

The hTPO derivatives may be administered in still less dose than native hTPO, for example, in a dosage range of about 0.01~1000  $\mu\text{g/kg/day}$ .

The hTPO derivatives of this invention may be used for the treatment of thrombocytopenia caused by various conditions.

For instance, it may be useful for the treatment of thrombocytopenia caused by administration of

anticancer agents, radiotherapy, bone marrow graft,  
hepatitis, liver cirrhosis etc. To treat these  
diseases, the hTPO derivatives may be administered in  
combination with anticancer agents such as Adriamycin  
5 and Cisplatin, and hematopoietic cytokines such as IL-3,  
MCSF, SCF and EPO.

### EXAMPLES

10 Practical and presently preferred embodiments of  
the present invention are illustrative as shown in the  
following Examples.

15 However, it will be appreciated that those skilled  
in the art, on consideration of this disclosure, may  
make modifications and improvements within the spirit  
and scope of the present invention.

#### Example 1: The PCR-amplification of cDNAs encoding hTPO derivatives

20 To induce site-specific mutagenesis in the gene  
encoding native hTPO, 12 pairs of oligonucleotides  
shown in Table 1 were prepared, which contained the  
specific nucleotide sequences corresponding to the  
mutated amino acid residues.

The established vector pBlue404 (KOREA PATENT

APPLICATION NO. 97-7512) containing hTPO cDNA was employed as a template on which hTPO gene would be amplified.

In detail, PCR was carried out, employing 50 ng of pBlue404 as a template. As primers, oligonucleotide (SEQ ID NO: 1) containing the hTPO signal sequence and one of antisense oligonucleotides containing the mutated sequences (N-primers in Table 1) were used. The PCR reactions were performed in 100  $\mu$ l total volume containing 4  $\mu$ l of the primer solution (40 pmol/ $\mu$ l) and 1  $\mu$ l of Pfu (; *Pyrococcus furiosus*) polymerase (2.5 u/ $\mu$ l; Stratagene, Cat. No. 600153). Thermocycle in the PCR was as follows: 90 sec at 94°C for pre-denaturation; 35 amplification cycles comprising 40 sec at 94°C for denaturation, 60 sec at 55°C for annealing and 120 sec at 72°C for elongation; and 5 min at 72°C for post-elongation.

Another PCR was performed in accordance with above reaction. As PCR primers, oligonucleotide (SEQ ID NO: 2) containing hTPO C-terminal ORF and stop codon, and one of sense oligonucleotides containing the mutated sequences (C-primers in Table 1) were employed.

Obtained in the PCR were DNA fragments covering from N-terminal hTPO signal sequence to mutated sequence, and DNA fragments from the mutated sequence to hTPO C-terminal.

The PCR products were brought to 1% agarose gel

electrophoresis, and then the DNA bands of interest were cut with a razor and eluted in 50  $\mu$ l of tertiary distilled water with QIAEX II kit (Qiagen, Cat No. 20021).

5        To obtain full-length hTPO cDNAs encoding mutated hTPO, PCR in 100  $\mu$ l final volume was performed, where two series of PCR products (10 ng, respectively) were employed as templates and two oligonucleotides (SEQ ID NO: 1 and NO: 2) as primers. Thermocycle in the PCR  
10        was as follows: 90 sec at 94°C for pre-denaturation; 35 amplification cycles comprising 40 sec at 94°C for denaturation, 60 sec at 58°C for annealing and 120 sec at 72°C for elongation; and 5 min at 72°C for post-elongation.

15        The PCR products were brought to 1% agarose gel electrophoresis, and then the 1078-bp DNA bands were eluted in 30  $\mu$ l of tertiary distilled water in accordance with said procedure.

20        To prepare hTPO genes containing two or more regions of mutated DNA sequences, four pairs of primers (the primers 58-N and 58-C, 60-N and 60-C, 61-N and 61-C, 63-N and 63-C) were used in PCR. The full-length cDNAs containing mutated sequence were prepared in accordance with said procedure, and then again brought  
25        to site-specific mutagenesis procedure where a primer pair 33-N and 33-C was used.

The modified amino acid and nucleotide sequences

in the resulting cDNAs were shown in Table 2.

Example 2: The construction of mammalian expression

vectors containing hTPO derivative cDNAs and their

5 expression in CHO cells

(2-1) Construction of transfer vectors

The genes encoding hTPO derivatives, which was prepared in Example 1, were subcloned in a commercially available vector pBlueBac4 (Invitrogen, Cat. No. V1995-  
10 20), as follows.

The PCR products corresponding to each hTPO derivative were digested with *Bgl*III and *Eco*RI enzymes at 37°C for 3 hours, and then 1068-bp DNA fragment was isolated from the reaction mixture by 1% agarose gel electrophoresis. The 4771-bp DNA fragment was also  
15 obtained from pBlueBac4 vector digested with *Bgl*III and *Eco*RI enzymes.

To subclone cDNAs encoding hTPO derivatives in the pBlueBac4 vector, two DNA fragments in a molar ratio of  
20 cDNA to vector DNA fragment 4:1 were ligated by incubating them with T4 DNA ligase (NEB, Cat. No. 202S) at 16°C for 16 hours. Then, the ligation mixtures were used to transform *E. coli* TOP10F' strain (Invitrogen, Cat. No. C3030-03) with the resulting transfer vectors.  
25 Electroporation method established already was employed

to obtain the *E. coli* transformants. After these transformants were cultured in 50 ml of LB medium (10g Trypton, 5g Yeast extract, 10g NaCl in one liter of water) at 37°C for 18 hours, the transfer vectors were  
5 obtained from the cultures with Wizard Midiprep kit (Promega, Cat. No. A7640).

These transfer vectors containing hTPO derivative genes were designated pBlue29, pBlue30, pBlue31, pBlue32, pBlue33, pBlue34, pBlue58, pBlue59, pBlue60,  
10 pBlue61, pBlue62, and pBlue63, respectively (see FIG.2).

#### (2-2) Construction of animal expression vectors

To construct recombinant animal expression vectors containing hTPO derivative genes, pCDT was employed  
15 which was prepared by inserting wild-type hTPO gene into a commercially available vector pCDNA3.1 (Invitrogen, Cat. No. 790-20).

Particularly, 5 µg of pCDT vector was digested with *EcoRI* and *NheI* enzymes at 37°C for 3 hours, and  
20 then 4958-bp DNA fragment was isolated from the reaction mixture by running on 1% agarose gel. The transfer vectors of Example 2-1 were digested with *EcoRI* and *NheI* enzymes, and then 1087-bp DNA fragment was also isolated from each restriction mixture.

25 To subclone cDNA fragments encoding various hTPO derivatives in the pCDT vector, two DNA fragments were mixed to 3:1 molar ratio and incubated with T4 DNA

ligase (NEB, Cat No. 202S) at 16°C for 18 hours. Then, the ligation mixtures were employed to transform *E. coli* TOP10F' strain (Invitrogen, Cat. No. C3030-03) with the resulting expression vectors. Electroporation method established already was employed to obtain the *E. coli* transformants (see FIG. 3). After these transformants were cultured in 50 ml of LB medium at 37°C for 18 hours, the expression vectors were obtained from the cultures with Wizard Midiprep kit (Promega, Cat No. A7640). The animal expression vectors containing hTPO derivative genes were designated p40429, p40430, p40431, p40432, p40433, p40434, p40458, p40459, p40460, p40461, p40462, and p40463, respectively (see FIG. 3). The isolated plasmid DNA was digested with *Nhe*I, *Eco*RI, *Bam*HI and *Bsu*36I enzymes to verify the insertion of the cDNAs. The mutation in the expression vectors was confirmed through restriction mapping and sequencing. The expression vectors were quantified by DNA electrophoresis according to Sambrook et al. (Sambrook et al., Molecular cloning - A laboratory manual, 2nd Ed., Cold spring harbor laboratory press, 1987) and used to transfect CHO/K-1 cell line.

(2-3) Expression of hTPO derivative genes in CHO cells

The transfection procedure was carried out according to lipofectamin (Gibco-BRL, Cat. No. 18324012) method. On the day before transfection,



CHO/K-1 cells (ATCC CCL-61) were loaded on 6-well microtiter plates at the density of  $2 \times 10^5$  cells/well. After 24 hours, the cells were once washed with CHO-S-SFM II medium (Gibco-BRL, Cat. No. 12052-098) and 0.8 ml of fresh medium was added to the cells. Meanwhile, 12  $\mu$ g of each expression vector was added to 600  $\mu$ l of CHO-S-SFM II medium and then mixed with 600  $\mu$ l of CHO-S-SFM II medium containing 36  $\mu$ l of lipofectamin. After the mixture was incubated at room temperature for 30 min, 200- $\mu$ l aliquots of the mixture per one well were added into the cells in 6-well plates. Then the cells were incubated at 37°C for 5 hours in an atmosphere of 5% CO<sub>2</sub>. After the addition of 1 ml of medium containing 10% FBS (Gibco-BRL, Cat. No. 16000-036) to the cells, they were further cultured at 37°C for 24 hours in an atmosphere of 5% CO<sub>2</sub>. The medium in the plates was replaced with Ham F-12 (Gibco-BRL, Cat. No. 11059) containing 10% FBS, and then the cells were further cultured at 37°C for 72 hours in an atmosphere of 5% CO<sub>2</sub> to prepare a culture for transient expression.

In addition, after the cells in Ham F-12 medium were cultured for 48 hours, cells in one well of 6-well plates were transferred to medium containing 500  $\mu$ g/ml of zeocin (Gibco-BRL, Cat. No. R25001) in 100-mm dishes. After the cells were cultured for 7~10 days, zeocin-resistant colonies were identified through microscope. Cloning cylinder (Bellco, Cat. No. 2090-01010) was used

to isolate more than 12 colonies per one hTPO derivative. Gene expression levels were determined by ELISA kit for hTPO (R&D, Cat. No. DTP00), and thereby the cell lines showing the highest expression levels were selected.

5

Example 3: The construction of mammalian expression vectors containing hTPO derivative cDNAs with two or more modified regions, and their expression in CHO cells

10

To produce hTPO derivatives where two or more modified amino acid regions, mammalian expression vectors of Example 2 were exploited.

In order to construct p40435, the expression vector p40429 was digested with *NheI* and *BspMI* enzymes to isolate 494-bp DNA fragment encoding a substituted amino acid (Arg<sup>117</sup> to Asn<sup>117</sup>). Another expression vector p40431 was cut with *BspMI* and *Bsu36I* enzymes to isolate 355-bp DNA fragment encoding a substituted amino acid (Gly<sup>147</sup> to Asn<sup>147</sup>). Additionally, animal expression vector pCDT containing hTPO cDNA was digested with *NheI* and *Bsu36I* enzymes. The fragments of p40429 and p40431 were inserted into the fragment of pCDT to construct animal expression vector p40435, which contains cDNA

15

20

encoding the hTPO derivative with two modified regions (Arg<sup>117</sup> to Asn<sup>117</sup> and Gly<sup>147</sup> to Asn<sup>147</sup>).

Another expression vector p40436 is associated with two amino acid substitutions (Arg<sup>117</sup> to Asn<sup>117</sup> and Arg<sup>164</sup> to Asn<sup>164</sup>) and was prepared by inserting the 494-bp fragment of p40429 and 593-bp *Bsp*MI-*Eco*RI fragment of p40433 into pCDT.

Expression vectors such as p40437, p40438, and p40439, were prepared in accordance with the above procedure, where two DNA fragments encoding substituted amino acids were isolated from the corresponding vector and inserted into the expression vector pCDT (see Table 3).

Other expression vectors such as p40446, p40447, or p40449, were prepared according to a procedure where three DNA fragments encoding substituted amino acids were isolated from the corresponding vector and inserted into pCDT (see Table 3).

These eight vectors obtained here were transfected into CHO/K-1 cells in 6-well plates. According to the procedure of Example 2, cultures for transient expression were prepared, and zeocin-resistant colonies were isolated, respectively.

Example 4: Estimation of in vitro activities of hTPO  
derivatives: M-07e cell proliferation assay

To prepare hTPO derivatives, the transfected cell lines of Example 2 and 3 were cultured in Cell Factory (Nunc, Cat. No. 170009) on 10-liter scale. Each transfected cells ( $5 \times 10^4$  cells/ml) were transferred into Cell Factory containing Ham F-12 medium supplemented with 10% FBS. Cultured for 72 hours, the cells were washed once with PBS and then cultured in ExCell medium (JRH, Cat. No. 14311-10L). After the cells were further cultured at 37°C for 96 hours in an atmosphere of 5% CO<sub>2</sub>, supernatants were obtained from the culture. The supernatants were concentrated first with pelicon membrane (Millipore, Cat. No. 42PEL60) and second with minitan membrane (Millipore, Cat. No. 80EL004). After concentration, each sample was brought to dialysis in 1 × TNT buffer (10 mM Tris, 0.15 M NaCl, 0.01% Tween 20, pH 7.4) at 4°C for 30 hours, followed by third concentration with Ultrafree (Millipore, Cat. No. UFV2BGC10). The samples were quantified with ELISA kit three times.

Megakaryocyte leukemia cell line M-07e was maintained in RPMI1640 medium (Gibco-BRL, Cat. No. 22400-089) supplemented with GM-CSF (100 u/ml) and 10% FBS.

To estimate activity, assay medium (RPM1640 supplemented with 5% FBS) was prepared, and M-07e cells were harvested by centrifugation, then washed with RPM1640 three times. The cells were resuspended in the  
5 assay medium, adjusted to  $8 \times 10^4$  cells/ml in T-75 flask, and cultured for 24 hours in an atmosphere of 5% CO<sub>2</sub>. Again, the cells were harvested and adjusted to  $1 \times 10^5$  cells/ml. 100  $\mu$ l aliquots of the cell suspension were added to 96-well plates. Eight-step concentrations  
10 (100.0 ~ 0.78125 ng/ml) of standard material (rhTPO, 25  $\mu$ g) were prepared by serial dilution with RPMI1640 medium, and CHO cell-derived native hTPO was employed as control. Total 11 species of hTPO derivatives (from 40429 to 40439) were prepared at the concentration of  
15 1.5625, 6.25 and 25 ng/ml. A 100- $\mu$ l aliquot of each sample per well was added, adjusting to 200  $\mu$ l/well. After incubated for 20 hours in an atmosphere of 5% CO<sub>2</sub>, the cells were fed with 1  $\mu$ Ci (37 kBq) of <sup>3</sup>H-Thymidine and further incubated for 4 hours. Then, cells were  
20 harvested using cell harvester equipped with a glass fiber filter, which was washed with PBS seven times.

The filters in which cells were harvested were put in counting vials one by one, and <sup>3</sup>H-radioactivities emitted from each sample were measured with a liquid  
25 scintillation counter. Riasmart software was used to calculate the half-maximal concentration of standards, control and samples.

All derivatives showed similar patterns of activities stimulating M-07e cell proliferation. At the concentration of 25 ng/ml, 8 species of derivatives 40429, 40430, 40432, 40433, 40434, 40437, 40438 and 40439 showed similar or higher activities than native hTPO did, their activities amounting to 117, 135, 120, 131, 97, 121, 166 and 133% of native hTPO activity (see FIG. 4).

10 Example 5: In vivo activities of hTPO derivatives  
isolated from CHO cells

In vivo hTPO assay was carried out where platelet levels were determined in the mice treated with various hTPO derivatives of this invention, and FIG. 6, 7a and 7b give the results. 7-week female Balb/c mice (Charles River, Japan) were adapted in a conditioning room (24 ± 1°C, 55% R.H., lighting for 12 hours, from 7:00 a.m. to 7:00 p.m.) for a week. The 8-week mice were brought to the assay and kept in the domestication room during the test.

The mice were randomly divided into groups comprising 5 mice on the basis of weights. The groups were specified as groups treated with medium only, treated with native hTPO, treated with each hTPO derivative of this invention, or not treated,

respectively.

Various hTPO derivatives (36  $\mu\text{g/kg}$  or 10  $\mu\text{g/kg}$ ) were subcutaneously administered to the mice in single injection, and the blood samples of mice were collected everyday from day 0 (the day of injection) to day 10. Samples were collected from abdominal vena cava within 24 hours after administration. Whole blood in EDTA-treated tube was set on automatic hemocytometer (Cell dyn 3500, Abbott), by which platelet levels in samples were measured. The results were presented in 'mean  $\pm$  standard error'.

On day 3, native hTPO stimulated an increase in platelet level. The platelet level reached a maximum on day 5 and came to normal level on day 10. All derivatives were found to stimulate an increase in platelet level, and derivatives 40433, 40434, 40449 and 40458 produced equal or higher platelet levels than native hTPO did. Especially, 40433 showed approximately 34% higher maximal in vivo activity of platelet production on day 5 than native hTPO, and 80% or more in total.

#### Comparative Example 1: in vivo activity of native hTPO

FIG. 5 shows the platelet level in a mouse that was treated with native hTPO derived from animal cells. 7-week female Balb/c mice (Charles River, Japan) were

adapted in a conditioning room ( $24 \pm 1^{\circ}\text{C}$ , 55% R.H., lighting for 12 hours, from 7:00 a.m. to 7:00 p.m.) for a week. The 8-week mice were brought to the assay and kept in the domestication room during the test.

5        The mice were randomly divided into groups comprising 5 mice on the basis of weights. The groups were specified as groups treated with medium only, treated with native hTPO, or not treated, respectively. Various concentrations (1, 5 and 10  $\mu\text{g/kg}$ ) of native  
10       hTPO were subcutaneously administered in single injection, and the blood samples of mice were harvested on day 4, 8 and 10 (where day 1 is the day of injection). Sample was harvested from abdominal vena cava within 24 hours after administration. Whole blood  
15       in EDTA-treated tube was set on automatic hemocytometer (Cell dyn 3500, Abbott), by which platelet levels in samples were measured. The results were presented in 'mean  $\pm$  standard error'. Native hTPO stimulated an increase in platelet level from day 4. The platelet  
20       level reached a maximum on day 8 and came down to 80% of the maximal value on day 10.



Example 6: Construction of dhfr expression vectors  
containing hTPO derivative cDNAs, and selection of  
mammalian cell lines expressing them

(6-1) Construction of dhfr expression vectors  
5 containing hTPO derivative cDNAs

According to the result of Example 5, dhfr expression vectors were constructed, which corresponding to the derivatives 40433, 40434, 40449 and 40458.

10 At first, *Bam*HI linker was inserted into pSV-dhfr (ATCC 37146) containing dhfr gene. To prepare *Bam*HI linker, two oligonucleotides (SEQ ID NO: 27 and NO: 28) were phosphorylated and then annealed to hybridize with each other. Particularly, T4 polynucleotide kinase  
15 (NEB, Cat. No. 201S) was used in the phosphorylation reaction at 37°C for 3 hours. In the annealing reaction, the equimolar oligonucleotides were mixed and placed at 94°C for 2 min, then the mixture was stepwisely cooled down from 65°C to 37°C with the temperature decreased  
20 by 0.2°C per 30 sec. The vector pSV2-dhfr was restricted with *Pvu*II and *Sph*I enzymes, then the *Bam*HI linker was connected with the fragment of pSV2-dhfr. The resulting vector was digested with *Bam*HI enzyme in order to prepare the 1710-bp fragment containing dhfr  
25 gene.

After the expression vector pCDT containing wild-type hTPO gene was digested with *Bgl*III enzyme, the 1710-bp fragment were inserted into the pCDT. The resulting dhfr expression vector expressing native hTPO was designated pDCT (see FIG. 8).

To dhfr expression vectors corresponding to 5 derivatives, two oligonucleotides (SEQ ID NO: 29 and NO: 2) were employed as PCR primers. Except for primers, the PCR was performed under the same condition as in Example 1. Amplified DNA sequences encoding hTPO derivatives were cut with *Kpn*I and *Eco*RI enzymes, and then inserted into the *Kpn*I-*Eco*RI site of the pDCT vector. The resulting vectors were designated pD40433, pD40434, pD40449 and pD40458, respectively.

15

(6-2) Transfection into CHO/dhfr(-) cell line and gene amplification

The dhfr expression vectors of Example 6-1 were transfected into animal cell line CHO/dhfr(-) (ATCC CRL-9096) according to the transfection procedure of Example 2. IMDM medium (Gibco-BRL, Cat. No. 12200-036) was used for the transfection, and IMDM medium supplemented with 10% dialyzed FBS (Gibco-BRL, Cat. No. 26300-061) for subsequent culture.

25

To select transformed line, the cells were added to 96-well microtiter plates ( $1 \times 10^3$  cells/well) in 48 hours after transfection, and cultured for 10-14 days

in medium containing 500 µg/ml zeocin. Zeocin-resistant colonies were isolated, and the 10-20 cell lines producing higher expression levels were selected by ELISA quantification.

5        The selected cell lines were subcultured in medium containing 20 nM MTX (Methotrexate, Sigma, Cat. No. M8407) to amplify hTPO gene. In detail, the cells were cultured in T-25 flask until flask was saturated with the cells. One-fifth of the saturated cells were  
10       subcultured, then 1/10 and 1/15, successively. Amplification finished when T-25 flask was saturated with cells in 3-4 days after the 1/15 subculture. Cell lines producing highest expression levels were selected by ELISA from amplified cell lines in 20 nM MTX. The  
15       cell lines were used to prepare samples for in vivo hTPO assay.

Example 7: Expression of native hTPO and derivatives thereof in CHO/dhfr(-) cells, and their purification

20        To prepare native hTPO and derivatives thereof, the cell lines of Example 6 were cultured in Cell Factory (Nunc, Cat. No. 170069) on 4-liter scale. Each cell line ( $5 \times 10^4$  cells/ml) was transferred into Cell Factory containing IMDM medium supplemented with 10%  
25       FBS. Cultured for 72 hours, the cells were washed once

with PBS and then cultured in DMEM/Ham F-12 medium. After the cells were further cultured at 37°C for 96 hours in an atmosphere of 5% CO<sub>2</sub>, supernatants obtained from the culture were brought to purification steps.

5        After XK26/20 column (Amersham-pharmacia, Cat. No. 18-1000-72) was filled with 50 ml of CM Affi-Gel blue resin (Bio-Rad, Cat. No. 153-7304), the column was washed with buffer A (10 mM sodium phosphate, 150 mM sodium chloride, pH 7.4) overnight. 4-liter of the  
10       culture supernatants was loaded and passed through the column with the flow rate of 5 ml/min, and was monitored by spectrophotometry at UV wavelength 280nm. After the whole culture supernatant was distributed throughout the column, the column was washed with  
15       buffer B (10 mM sodium phosphate, 2 M urea, pH 7.4) until the UV absorption dropped to basal level. Bound proteins including hTPO were eluted with buffer C (10 mM sodium phosphate, 2 M urea, 1 M sodium chloride, pH 7.4), and this fraction was applied to subsequent  
20       phenylsepharose column chromatography. XK26/20 column was filled with 50 ml of phenylsepharose CL4B resin (Sigma, Cat. No. P7892) and then washed with buffer C overnight. The fraction eluted from CM Affi-Gel blue column was applied to the phenylsepharose column with  
25       flow rate of 3 ml/min and monitored by spectrophotometry at UV wavelength 280 nm. After the whole culture supernatant was distributed throughout

the column, the column was washed with buffer C until the UV absorption dropped to basal level. Proteins bound to resin were eluted with buffer B and this fraction was applied to subsequent hydroxylapatite column chromatography. XK16/20 column (Amersham-pharmacia, Cat. No. 18-8773-01) was filled with 10 ml of hydroxylapatite resin (Bio-Rad, Cat. No. 130-0420) and washed with buffer D (10 mM sodium phosphate, 2 M urea, pH 6.8) overnight. The fraction eluted from the phenylsepharose column was adjusted to pH 6.8 with 5 N HCl and then applied to hydroxylapatite column with flow rate of 3 ml/min. Since hTPO is not bound to hydroxylapatite resin, the unbound fraction was reserved. The column was washed with buffer D until the UV absorption dropped to basal level. Then, impure proteins bound to resin were eluted with buffer E (0.5 M sodium phosphate, 2 M urea, pH 6.8). The obtained hTPO fraction was concentrated to 10-ml volume using Econo-Pac Q cartridge (Bio-Rad, Cat. No. 732-0021), and then dialyzed in 10 mM sodium phosphate for 24 hours to eliminate salts and urea. Each fraction in the purification steps was visualized through SDS-PAGE and silver staining (see FIG. 9), where Silver-stain Plus kit (Bio-Rad, Cat. No. 161-0449) was used in accordance with the manufacturer's instruction.

In vivo hTPO assay was performed with the purified hTPO derivatives (dose: 10  $\mu$ g/kg) according to the

method of Example 5. All derivatives were found not only to stimulate an increase in platelet level, but also to produce higher platelet levels than native hTPO did. Particularly, 40433, 40434, 40449, and 40458 showed 77%, 91%, 26%, and 79% higher activities for total 10 days after administration than native hTPO, respectively (see FIG. 10).

Example 8: Characterization of hTPO derivatives:

verifying the introduction of sugar chains and examining the stability of hTPO derivatives

To investigate whether additional sugar chains were introduced into the hTPO derivatives, SDS-PAGE and Western blot analysis was performed. If sugar chains are introduced, the molecular weights of hTPO derivatives will be heavier than that of native hTPO.

Purified native hTPO and derivatives thereof were loaded into wells in 10 ~ 20% gradient tricine polyacrylamide gel (Novex, Cat. No. EC66252), which was run at a voltage of 10 V/cm. After electrophoresis, the proteins fractionated on the gel were transferred onto a nitrocellulose filter. The filter was incubated for 1 hour in TBS (pH 7.5) containing 5% non-fat dried milk, and then further incubated for 18 hours with goat anti-hTPO polyclonal antibody (R&D system, Cat. No. AB-

288-NA) diluted in TBS (1:1000). The filter was subsequently incubated for 2 hours with a secondary antibody, alkaline phosphatase-conjugated anti-goat IgG (Sigma, Cat. No. A4187) diluted in TBS (1:10000). The coloring substrate BCIP/NBT (Sigma, Cat. No. B5655) was used for detecting hTPO band. In result, molecular weights of purified hTPO derivatives were heavier than that of native hTPO, depending on the number of sugar chains introduced (FIG. 11).

To evaluate the stability of hTPO derivatives, native hTPO and a hTPO derivative 40433 were digested with Thrombin, and then the time-dependent digestion patterns were observed. The hTPO derivative (50 µg/ml) was treated with Thrombin (5 units/ml, Sigma, Cat. No. T6759) at 37°C for 0.5, 1, 2, 3, 4, or 6 hours. Then, SDS-PAGE and Western blot analysis was performed to observe the digestion patterns. Native hTPO was strikingly degraded in 30 min after treatment with Thrombin, while the derivative 40433 was digested in 4 hours (see FIG. 12). This result verified that the derivative 40433 is more stable than native hTPO, which can be explained from the sugar chain introduced.

#### INDUSTRIAL APPLICABILITY

As shown above, the hTPO derivatives of this invention induce the production of platelet precursor

cells in vivo, and thus are useful for the treatment of thrombocytopenia associated with anticancer therapies or bone marrow graft. Especially, the hTPO derivatives 40433, 40434, 40449 and 40458 show significantly higher efficacy inducing platelet production than native hTPO, providing various advantages. Since low dose of hTPO derivatives shows similar efficacy to native hTPO, small dose of hTPO can be infrequently administered to the patients suffering from thrombocytopenia. Therefore, use of derviatives of this invention will reduce the cost of treating the disease and will elevate the welfare of patients as well as the safety of the drug, with the inclusion of impure proteins excluded, owing to the small dose used.

15

Those skilled in the art will appreciate that the conceptions and specific embodiments disclosed in the foregoing description may be readily utilized as a basis for modifying or designing other embodiments for carrying out the same purposes of the present invention. Those skilled in the art will also appreciate that such equivalent embodiments do not depart from the spirit and scope of the invention as set forth in the appended claims.

25



**What is claimed is**

1. Human thrombopoietin derivative which derived from human thrombopoietin (hTPO) described by SEQ ID NO:  
5 30; which has at least one additional N-linked glycosylation site; and which is selected from the group comprising:
  - [Asn<sup>108</sup>] hTPO;
  - [Asn<sup>117</sup>] hTPO;
  - 10 [Asn<sup>147</sup>] hTPO;
  - [Asn<sup>153</sup>] hTPO;
  - [Asn<sup>164</sup>] hTPO;
  - [Asn<sup>193</sup>] hTPO;
  - [Asn<sup>117</sup>, Asn<sup>147</sup>] hTPO;
  - 15 [Asn<sup>117</sup>, Asn<sup>164</sup>] hTPO;
  - [Asn<sup>108</sup>, Asn<sup>147</sup>] hTPO;
  - [Asn<sup>108</sup>, Asn<sup>164</sup>] hTPO;
  - [Asn<sup>147</sup>, Asn<sup>164</sup>] hTPO;
  - [Asn<sup>117</sup>, Asn<sup>147</sup>, Asn<sup>164</sup>] hTPO;
  - 20 [Asn<sup>108</sup>, Asn<sup>147</sup>, Asn<sup>164</sup>] hTPO;
  - [Asn<sup>108</sup>, Asn<sup>117</sup>, Asn<sup>164</sup>] hTPO;
  - [Asn<sup>157</sup>, Asn<sup>164</sup>] hTPO;
  - [Asn<sup>162</sup>, Ser<sup>164</sup>] hTPO;
  - [Asn<sup>162</sup>, Thr<sup>164</sup>] hTPO;
  - 25 [Asn<sup>153</sup>, Ser<sup>155</sup>, Asn<sup>164</sup>] hTPO;
  - [Asn<sup>153</sup>, Thr<sup>155</sup>, Asn<sup>164</sup>] hTPO;

[Asn<sup>159</sup>, Ser<sup>161</sup>, Asn<sup>164</sup>] hTPO;  
[Asn<sup>159</sup>, Thr<sup>161</sup>, Asn<sup>164</sup>] hTPO;  
[Asn<sup>166</sup>, Ser<sup>168</sup>] hTPO;  
[Asn<sup>166</sup>, Thr<sup>168</sup>] hTPO; and  
5 [Asn<sup>164</sup>, Asn<sup>168</sup>] hTPO.

2. The human thrombopoietin derivative of claim 1  
which is [Asn<sup>164</sup>] hTPO, [Asn<sup>193</sup>] hTPO, [Asn<sup>108</sup>, Asn<sup>117</sup>,  
Asn<sup>164</sup>] hTPO, or [Asn<sup>157</sup>, Asn<sup>164</sup>] hTPO.

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3. Recombinant gene encoding human thrombopoietin  
derivative of claim 1.

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4. Recombinant gene encoding human thrombopoietin  
derivative of claim 2.

5. Eukaryotic expression vector containing the  
recombinant gene of claim 3.

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6. The eukaryotic expression vector of claim 5 which  
is p40433, p40434, p40449, p40458, pD40433, pD40434,  
pD40449, or pD40458.

25

7. Mammalian cell line CHO K-1/p40433 (Accession NO:  
KCTC 0495BP) transfected with the expression vector  
p40433 of claim 6.

8. Mammalian cell line CHO dhfr-/pD40434 (Accession NO: KCTC 0630BP) transfected with the expression vector pD40434 of claim 6.
- 5 9. Mammalian cell line CHO dhfr-/pD40449 (Accession NO: KCTC 0631BP) transfected with the expression vector pD40449 of claim 6.
- 10 10. Mammalian cell line CHO dhfr-/pD40458 (Accession NO: KCTC 0632BP) transfected with the expression vector pD40458 of claim 6.
- 15 11. Process of preparing the human thrombopoietin derivative of claim 1 wherein a mammalian cell line containing the recombinant gene of claim 3 is used to obtain the human thrombopoietin derivative of claim 1.
- 20 12. Pharmaceutical composition containing the human thrombopoietin derivative of claim 1 which is used for the treatment of thrombocytopenia.

# ABSTRACT OF THE DISCLOSURE

The present invention relates to novel human thrombopoietin (; hTPO) derivatives, and to process of preparation thereof. Particularly, sugar chains are introduced into native hTPO by substituting amino acids such as asparagine for amino acids at specific positions in native hTPO, preparing novel hTPO derivatives with high activities enhancing the platelet production in vivo. Therefore, the novel hTPO derivatives of this invention may be useful for the treatment of thrombocytopenia associated with anticancer therapy or the transplantation of bone marrow.

FIG. 1

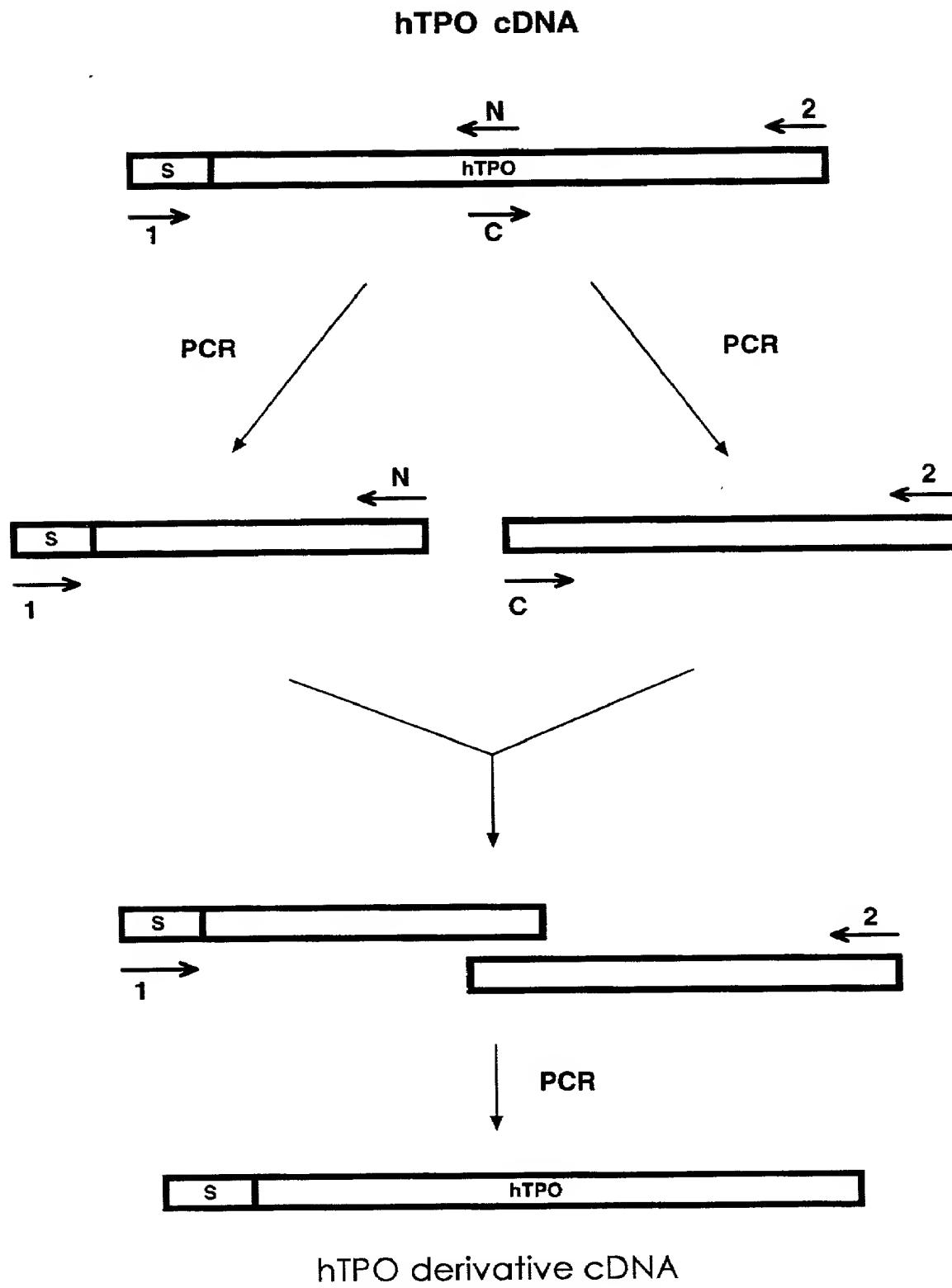


FIG. 2

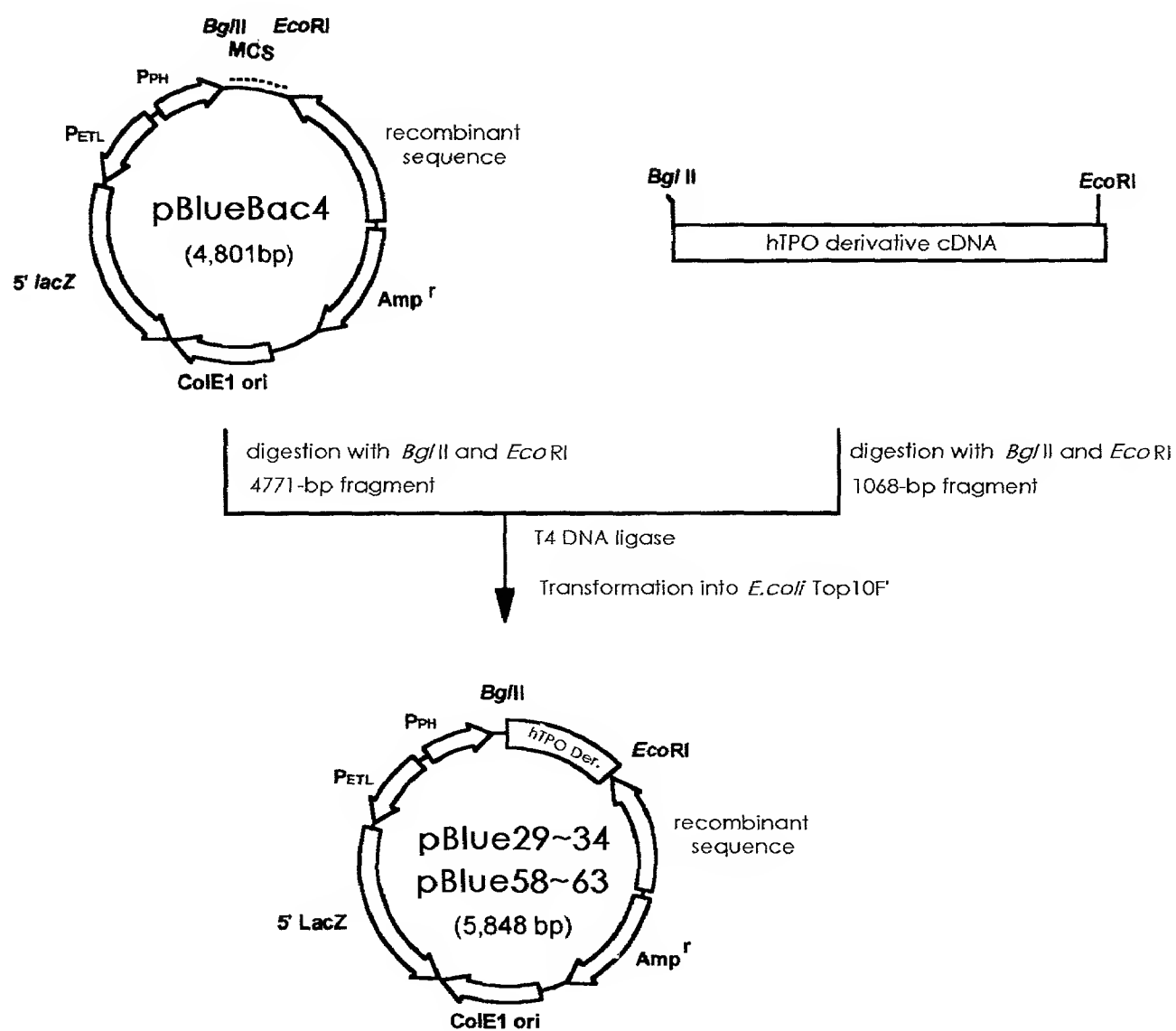


FIG. 3

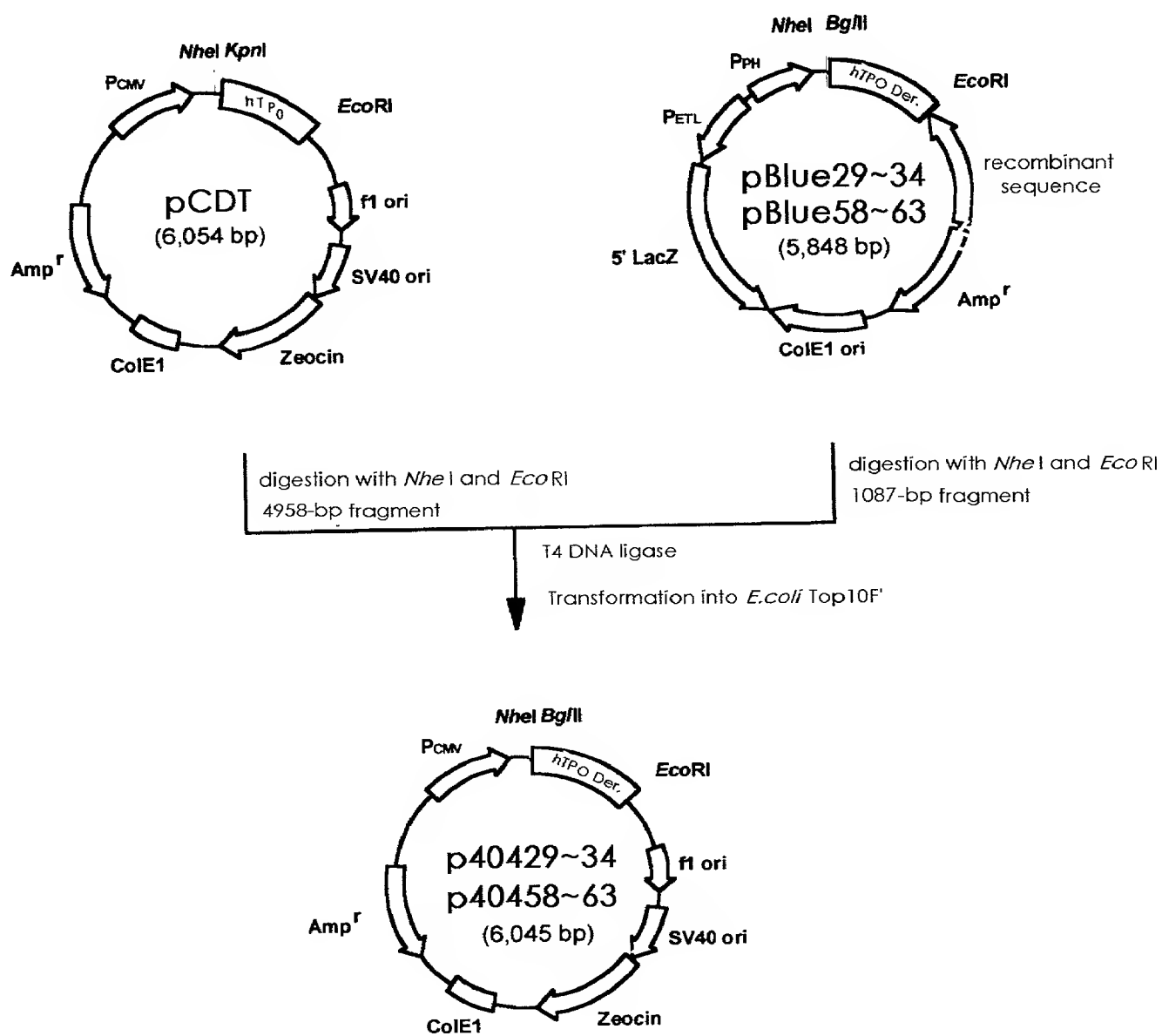


FIG. 4

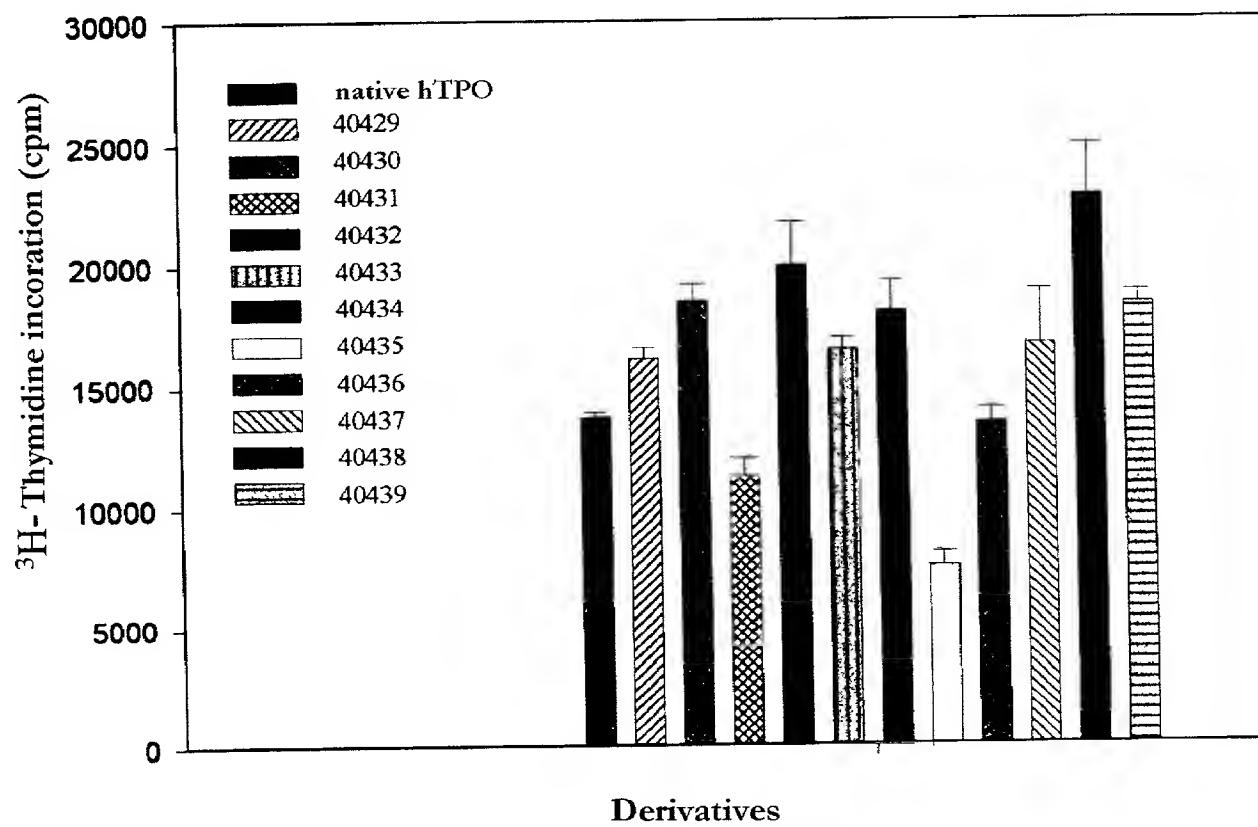




FIG. 5

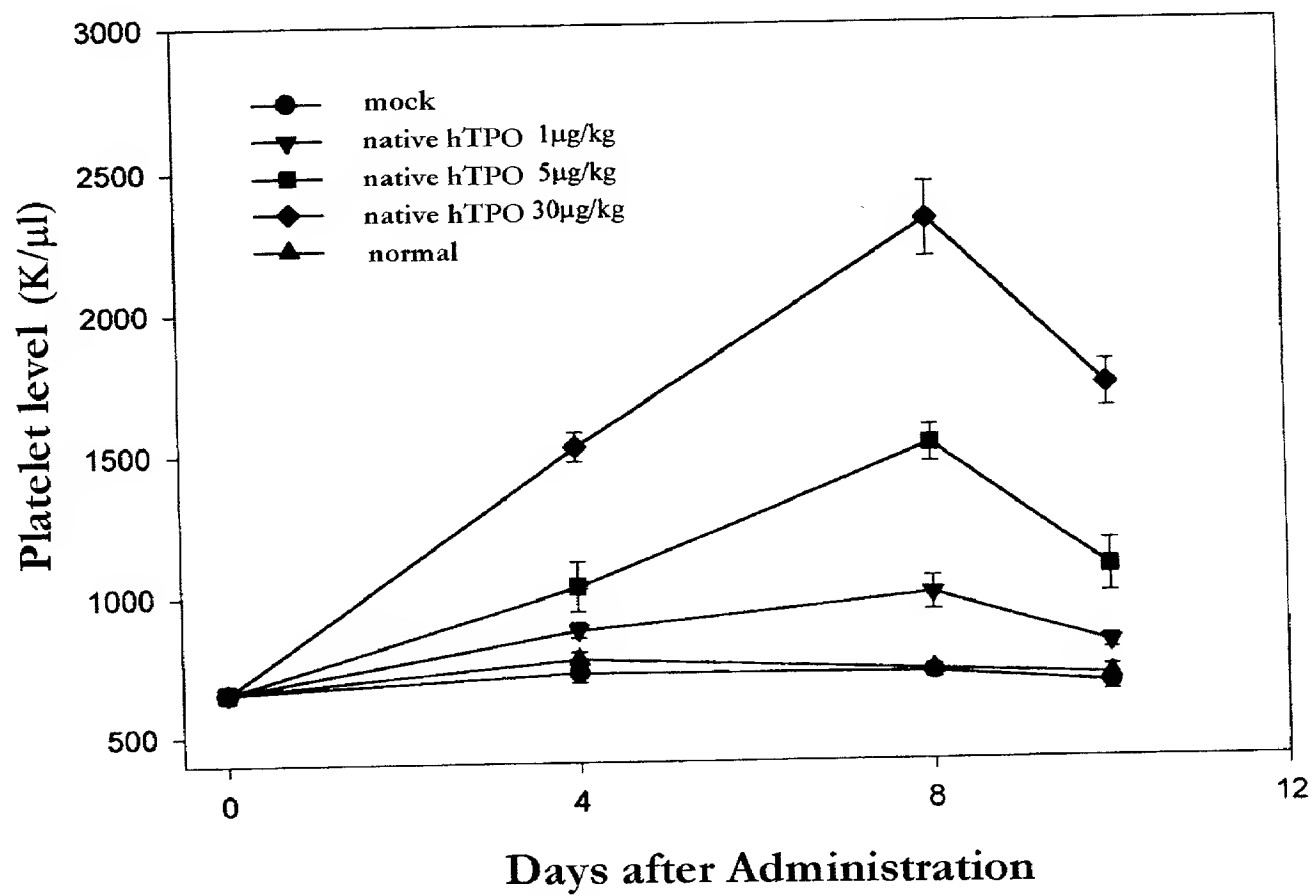


FIG. 6

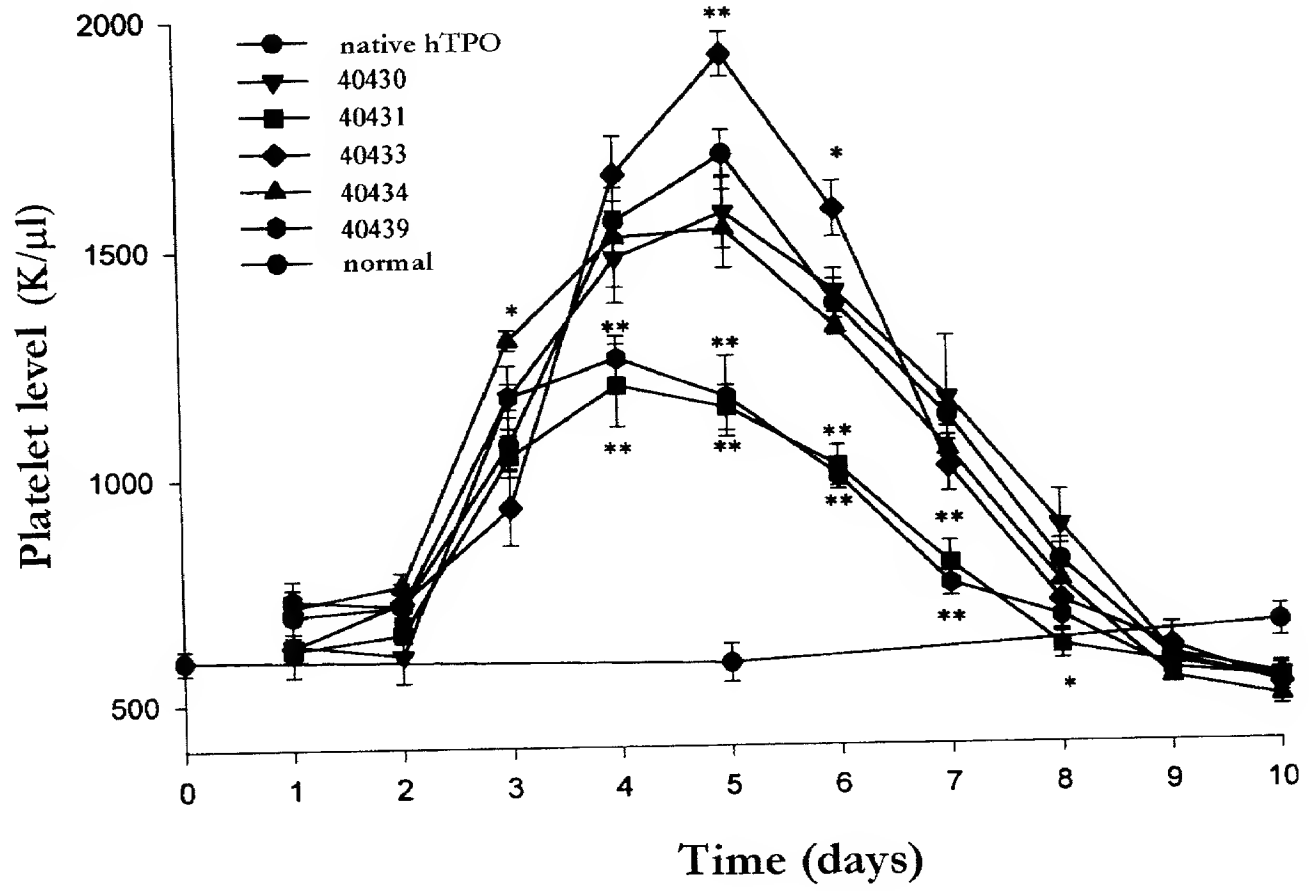


FIG. 7a

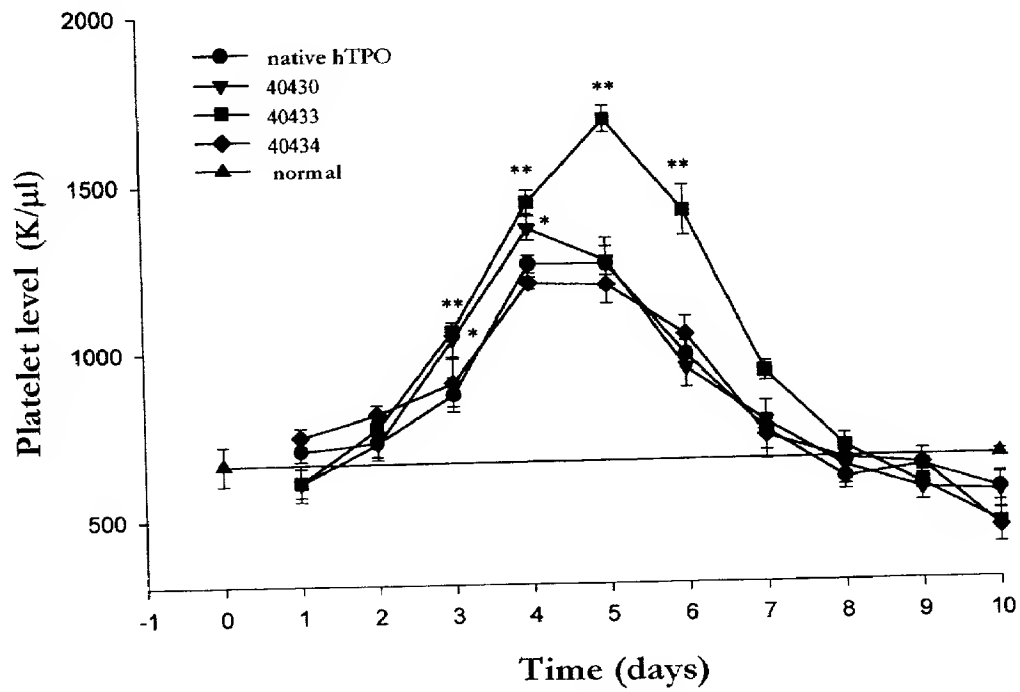


FIG. 7b

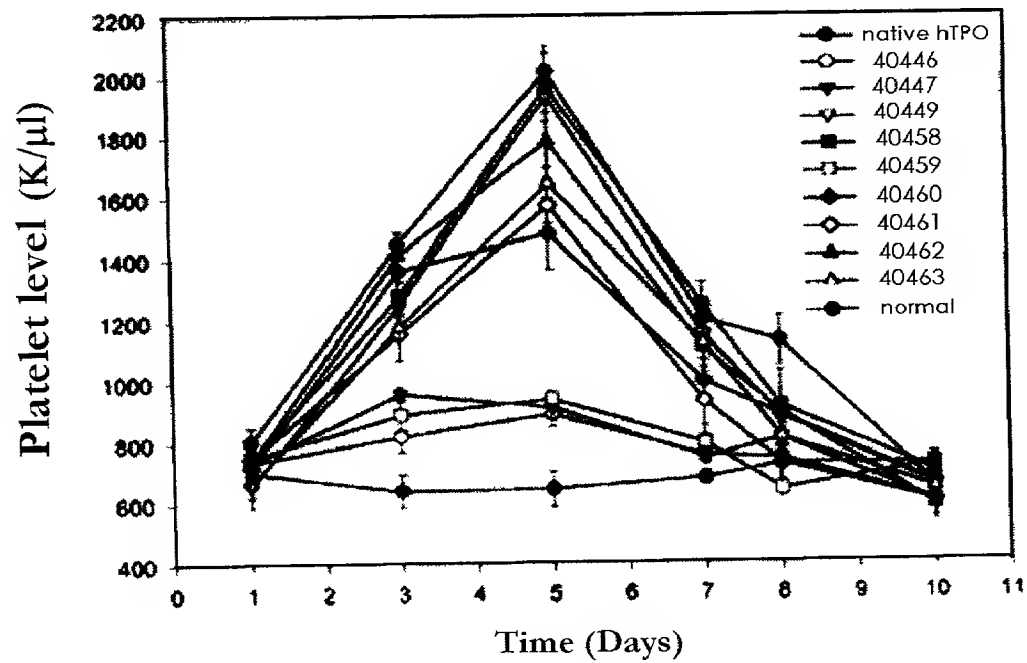


FIG. 8

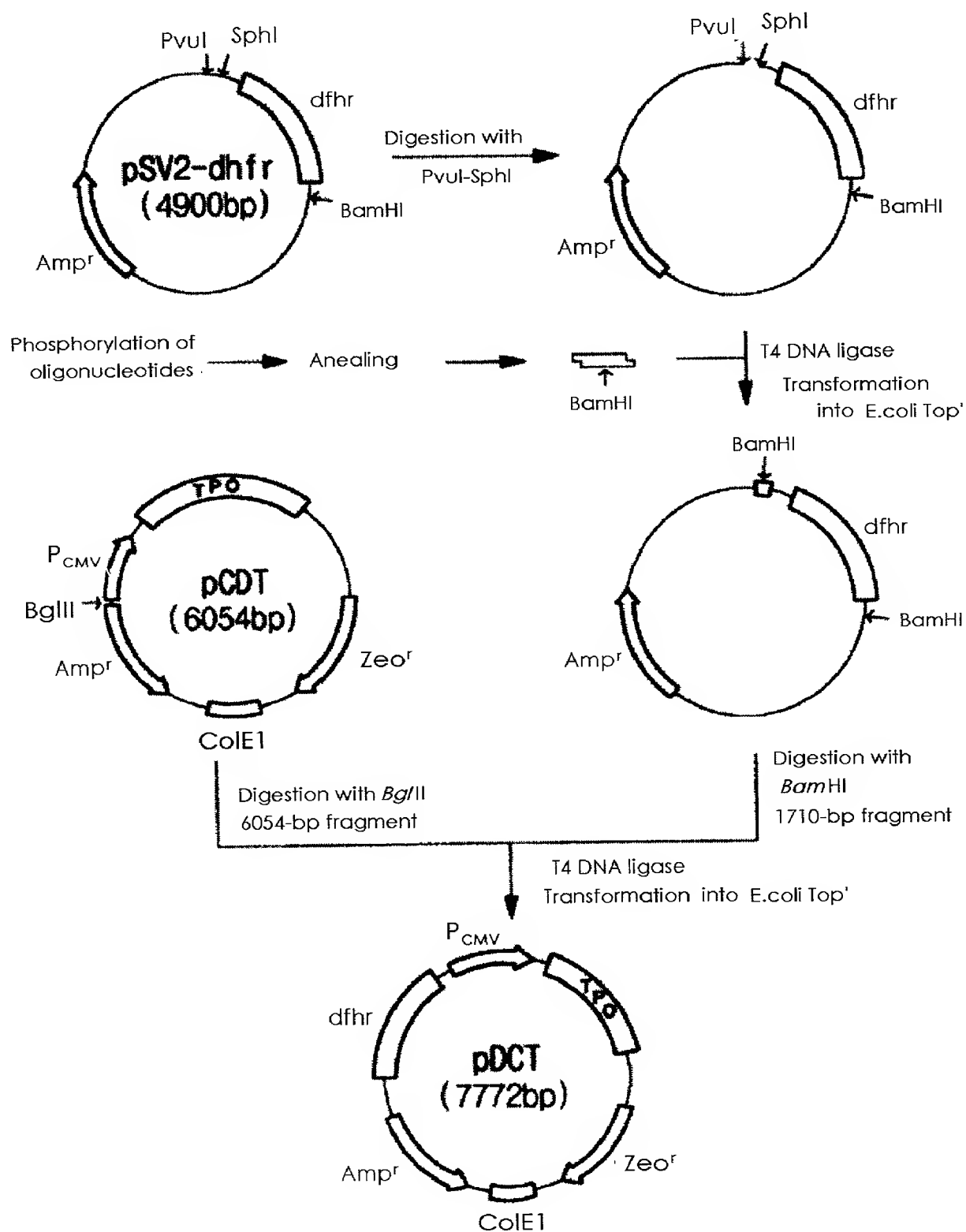


FIG. 9

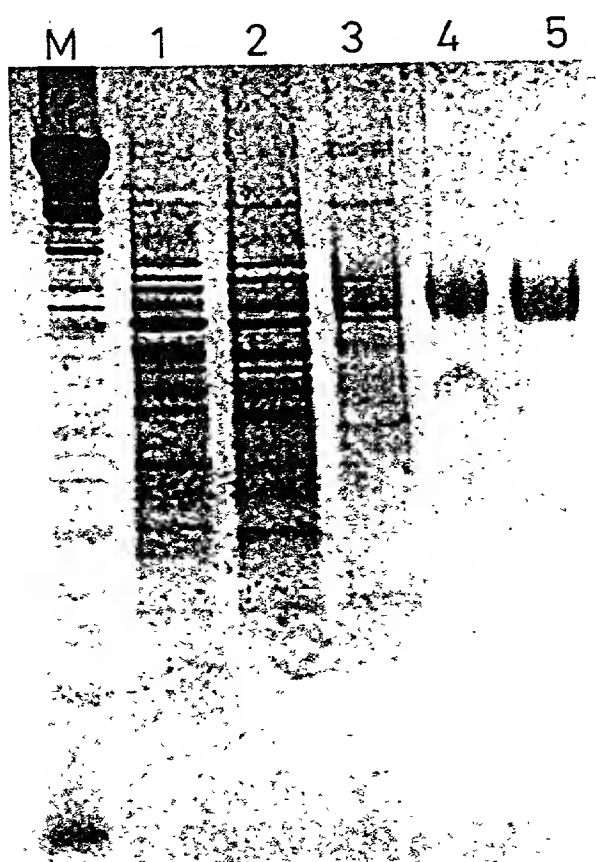


FIG. 10

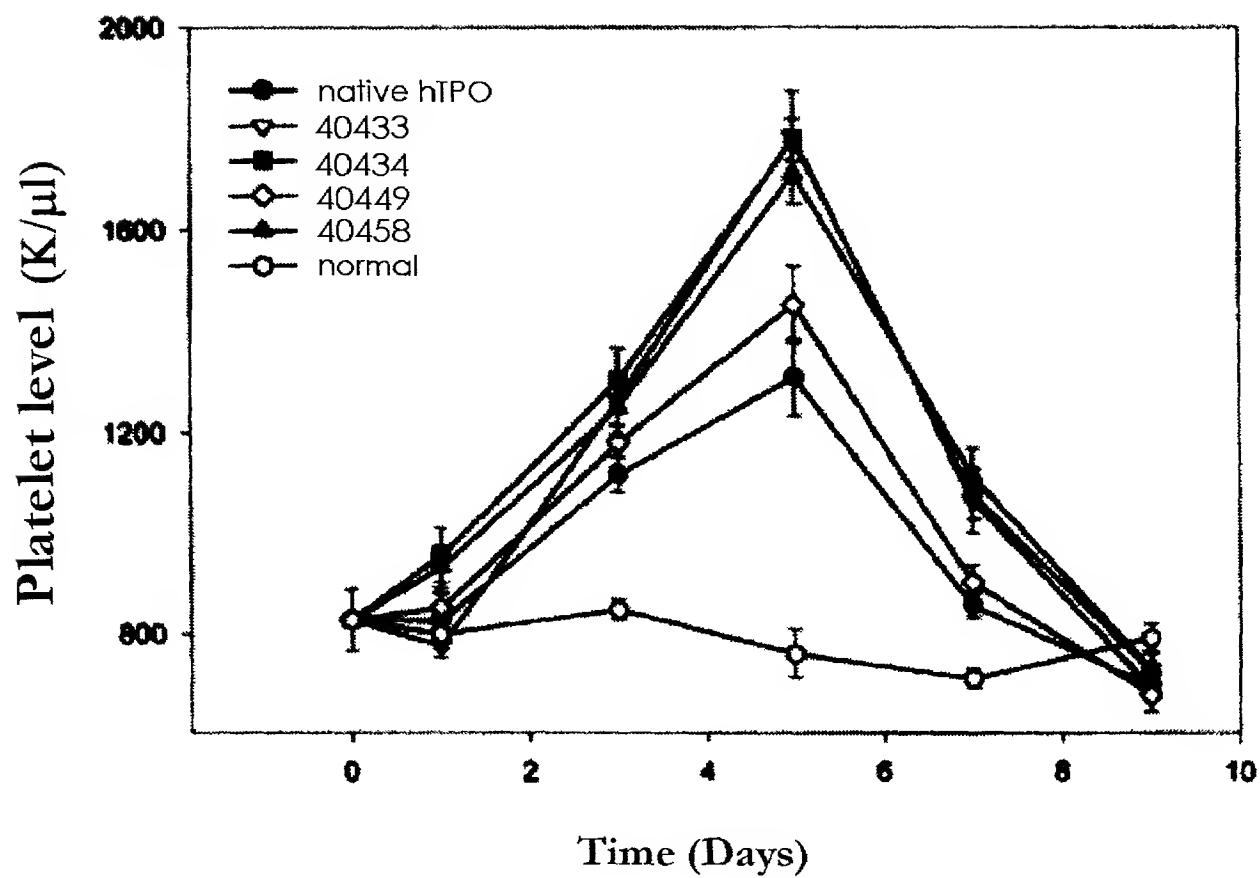


FIG. 11

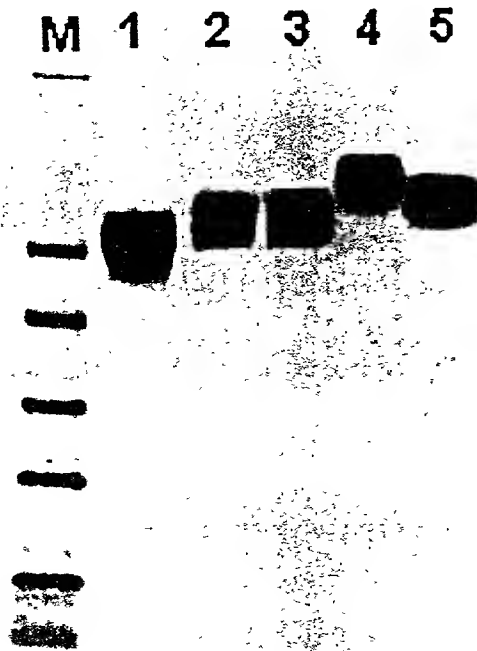


FIG. 12a

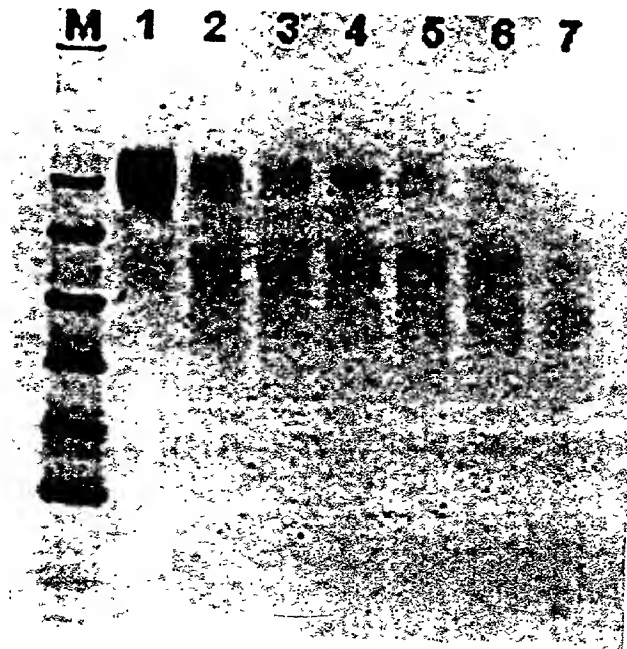
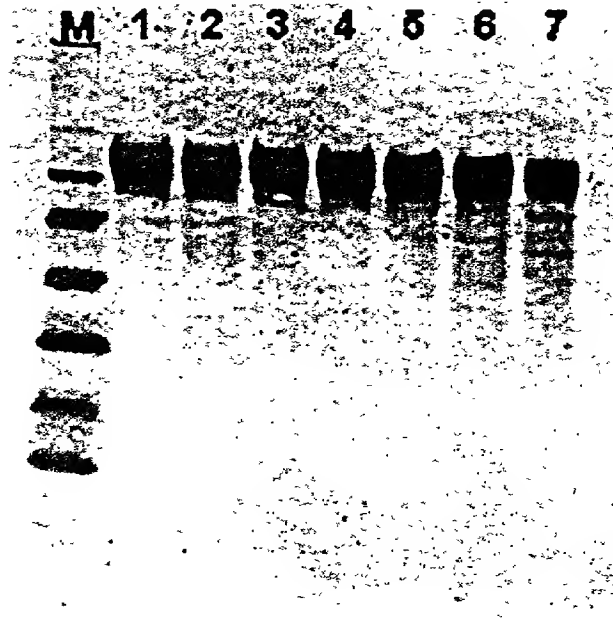


FIG. 12b





## GATES &amp; COOPER

## United States Patent Application

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my citizenship is as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A NOVEL HUMAN THROMBOPOIETIN MUTEIN

The specification of which:

a. ☒ is attached hereto.

b. ☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT application having a filing date before that of the application on the basis of which priority is claimed:

a. ☐ no such applications have been filed.

b. ☒ such applications have been filed as follows.

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
KR	1998-25935	30.06.98	
KR	1999-25143	29.06.99	
OTHER FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

--	--	--	--

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. PARENT APPLICATION OR PCT PARENT NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

George H. Gates	Registration No. 33,500
Victor G. Cooper	Registration No. <u>39,641</u>
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Jason S. Feldmar	Registration No. <u>39,187</u>

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Gates & Cooper to the contrary.

Please direct all correspondence in this case to the firm of Gates & Cooper at the address indicated below:

~~GATES & COOPER~~  
~~Howard Hughes Center~~  
~~6701 Center Drive West, Suite 1050~~  
~~Los Angeles, CA 90045~~

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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	Post Office Address	Post Office Address #609-1305 Imaechon Chongku Apt., Imae-dong, Pundang-ku	City Sunghnam-si, Kyunggi do	State & Zip Code/Country 463-060
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Signature of Inventor(7): <u>Park S. K</u>				Date: <u>Nov. 30. 2000</u>
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9/11

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Signature of Inventor(9):			<i>Park, Ji Soo</i>	Date: <i>November 30, 2000</i>

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) it refutes, or is inconsistent with, a position the applicant takes in:

(i) opposing an argument of unpatentability relied on by the Office, or

(ii) asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) each inventor named in the application:

(2) each attorney or agent who prepares or prosecutes the application; and

(3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

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Seung Kook Park  
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Ji Soo Park

<120> A NOVEL HUMAN THROMBOPOIETIN MUTEIN

<130> G&C 118.7-US-WO

<140> To be assigned

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305				310						315					320

Ser Tyr Thr His Ser Gln Asn Leu Ser Gln Glu Gly  
 325 330

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 <212> DNA  
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<220>  
 <223> cDNA sequence encoding hTPO mutein 40433

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 ctgctgctg tggacttttag cttgggagaa tggaaaaccc agatggagga gaccaaggca 180  
 caggacattc tgggagcagt gaccttctg ctggagggag tgatggcagc acggggacaa 240  
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 cacaaggatc ccaatgccat cttcctgagc ttccaacacc tgctccgagg aaaggtgcgt 420  
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 gtcccagca acacgtctct agtctcaca ctgaacgagc tcccaaacag gacttctgga 540  
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 caaatccccg gatacctgaa caggatacac gaactcttga atggaactcg tggactcttt 720  
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 tcctacaccc actcccagaa tctgtctcag gaaggg 996

<210> 32  
 <211> 996  
 <212> DNA  
 <213> Artificial Sequence

<220>  
 <223> cDNA sequence encoding hTPO mutein 40434

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 ctgctgctg tggacttttag cttgggagaa tggaaaaccc agatggagga gaccaaggca 180  
 caggacattc tgggagcagt gaccttctg ctggagggag tgatggcagc acggggacaa 240  
 ctgggaccca cttgcctctc atccctcctg gggcagcttt ctggacaggt ccgtctcctc 300  
 cttggggccc tgcagagcct ccttggaacc cagcttcctc cacagggcag gaccacagct 360  
 cacaaggatc ccaatgccat cttcctgagc ttccaacacc tgctccgagg aaaggtgcgt 420  
 ttcctgatgc ttgtaggagg gtccaccctc tgcgtcaggc gggccccacc caccacagct 480  
 gtcccagca gaacctctct agtctcaca ctgaacgagc tcccaaacag gacttctgga 540  
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<210> 33

<211> 996

<212> DNA

<213> Artificial Sequence

<220>

<223> cDNA sequence encoding hTPO mutein 40449

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ctgcctgctg	tggacttttag	cttgggagaa	tggaaaaccc	agatggagga	gaccaaggca	180
caggacattc	tgggagcagt	gacccttctg	ctggagggag	tgatggcagc	acggggacaa	240
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cttggggccc	tgcagagttt	aaacggaacc	cagcttcctc	cacagggcaa	caccacagct	360
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cccctgcttc	ctgacccttc	tgtctccaacg	cccaccoccta	ccagccctct	tctaaacaca	960
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<210> 34

<211> 996

<212> DNA

<213> Artificial Sequence

<220>

<223> cDNA sequence encoding hTPO mutein 40458

<400> 34

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ctgcctgctg	tggacttttag	cttgggagaa	tggaaaaccc	agatggagga	gaccaaggca	180
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ctgggaccca	cttgccctctc	atccctcctg	gggcagcttt	ctggacaggt	ccgtctcctc	300
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cacaaggatc	ccaatgccat	cttcctgagc	ttccaacacc	tgctccgagg	aaaggtgcgt	420
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